

# Measurement of the Charged Pion and Kaon Form Factors to High $Q^2$ at JLab and the EIC



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(on behalf of PionLT and KaonLT Collaborations and EIC Canada)

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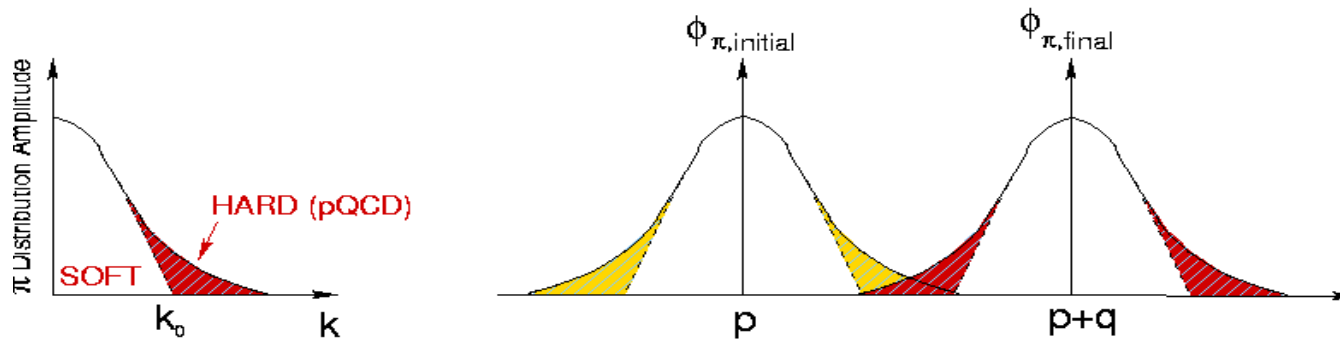
Elucidating the structure of Nambu-Goldstone bosons  
CFNS Workshop  
June 27, 2024

# Charged Meson Form Factors

Simple  $q\bar{q}$  valence structure of mesons presents the ideal testing ground for our understanding of bound quark systems.

In quantum field theory, the form factor is the overlap integral:

$$F_\pi(Q^2) = \int \phi_\pi^*(p) \phi_\pi(p+q) dp$$



The meson wave function can be separated into  $\varphi_\pi^{soft}$  with only low momentum contributions ( $k < k_0$ ) and a hard tail  $\varphi_\pi^{hard}$ .

While  $\varphi_\pi^{hard}$  can be treated in pQCD,  $\varphi_\pi^{soft}$  cannot.

**From a theoretical standpoint, the study of the  $Q^2$ -dependence of the form factor focuses on finding a description for the hard and soft contributions of the meson wave-function.**

# The Pion in perturbative QCD

At very large  $Q^2$ , pion form factor ( $F_\pi$ ) can be calculated using pQCD

$$F_\pi(Q^2) = \frac{4\pi C_F \alpha_s(Q^2)}{Q^2} \left| \sum_{n=0}^{\infty} a_n \left( \log \left( \frac{Q^2}{\Lambda^2} \right) \right)^{-\gamma_n} \right|^2 \left[ 1 + O \left( \alpha_s(Q^2), \frac{m}{Q} \right) \right]$$

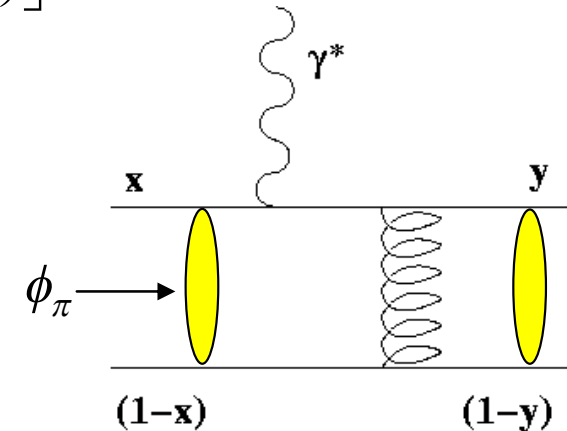
At asymptotically high  $Q^2$ , only hardest portion of pion distribution amplitude contributes

$$\phi_\pi(x) \xrightarrow{Q^2 \rightarrow \infty} \frac{3f_\pi}{\sqrt{n_c}} x(1-x)$$

and  $F_\pi$  takes the very simple form

$$Q^2 F_\pi(Q^2) \xrightarrow{Q^2 \rightarrow \infty} 16\pi \alpha_s(Q^2) f_\pi^2$$

$f_\pi = 93$  MeV is the  $\pi^+ \rightarrow \mu^+ \nu$  decay constant



G.P. Lepage, S.J. Brodsky, Phys.Lett. **87B**(1979)359.

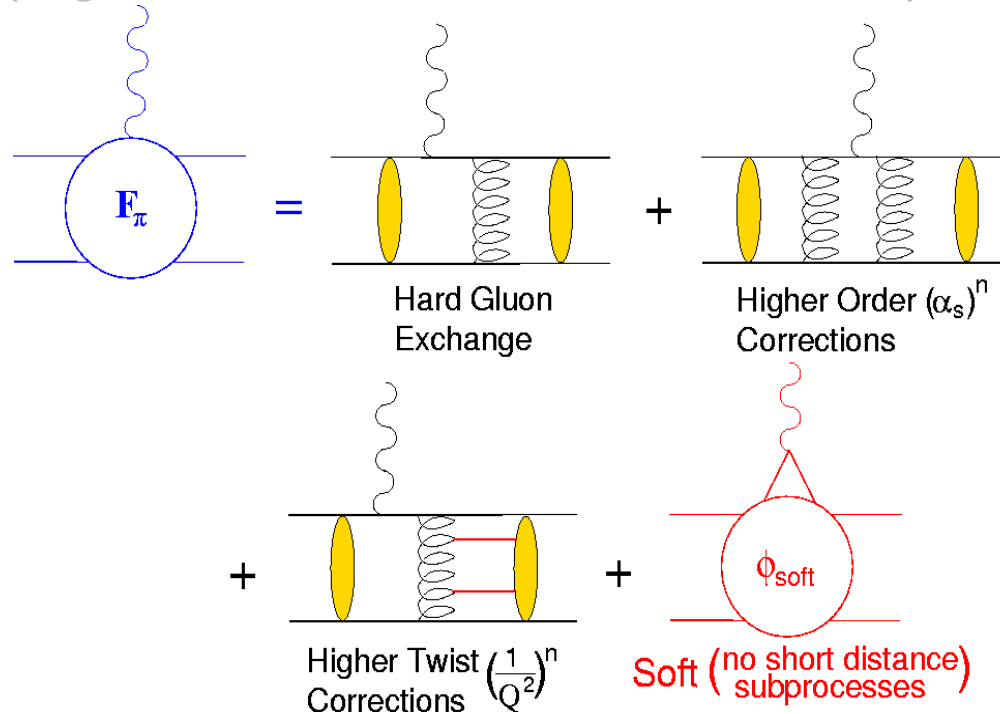
This only relies on asymptotic freedom in QCD, *i.e.*  $(\partial\alpha_s/\partial\mu) < 0$  as  $\mu \rightarrow \infty$ .

**$Q^2 F_\pi$  should behave like  $\alpha_s(Q^2)$  even for moderately large  $Q^2$ .**

→ Pion form factor seems to be best tool for experimental study of nature of the quark-gluon coupling constant renormalization.

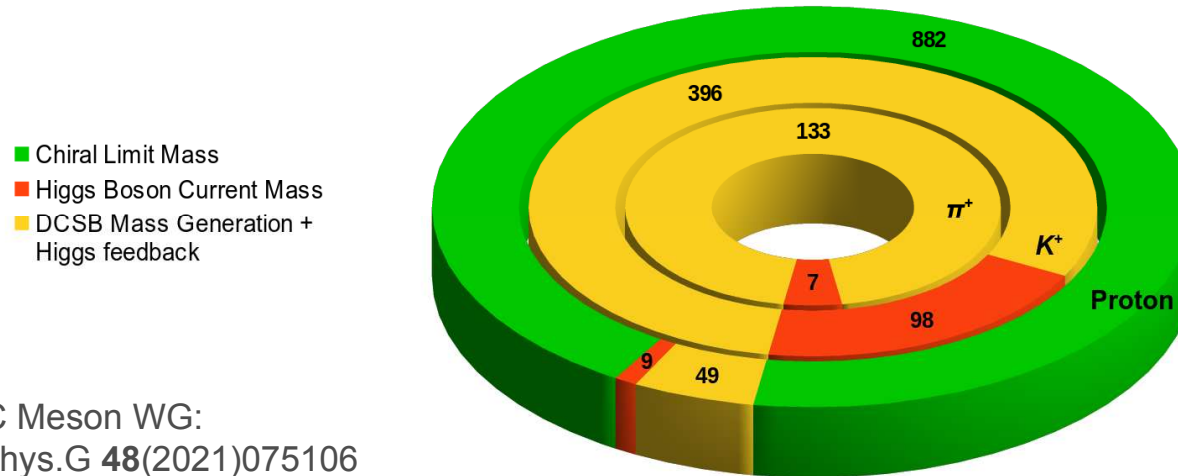
[A.V. Radyushkin, JINR 1977, arXiv:hep-ph/0410276]

At experimentally-accessible  $Q^2$ , both the “hard” and “soft” components (e.g. transverse momentum effects) contribute.



- **The interplay of hard and soft contributions is poorly understood.**
  - Different theoretical viewpoints on whether higher-twist mechanisms dominate until very large momentum transfer or not.
- **The pion elastic and transition form factors experimentally accessible over a wide kinematic range.**
  - A laboratory to study the **transition** from the soft to hard regime.

Hadron Mass Budget



EIC Meson WG:  
J.Phys.G 48(2021)075106

## Stark Differences between proton, $K^+$ , $\pi^+$ mass budgets

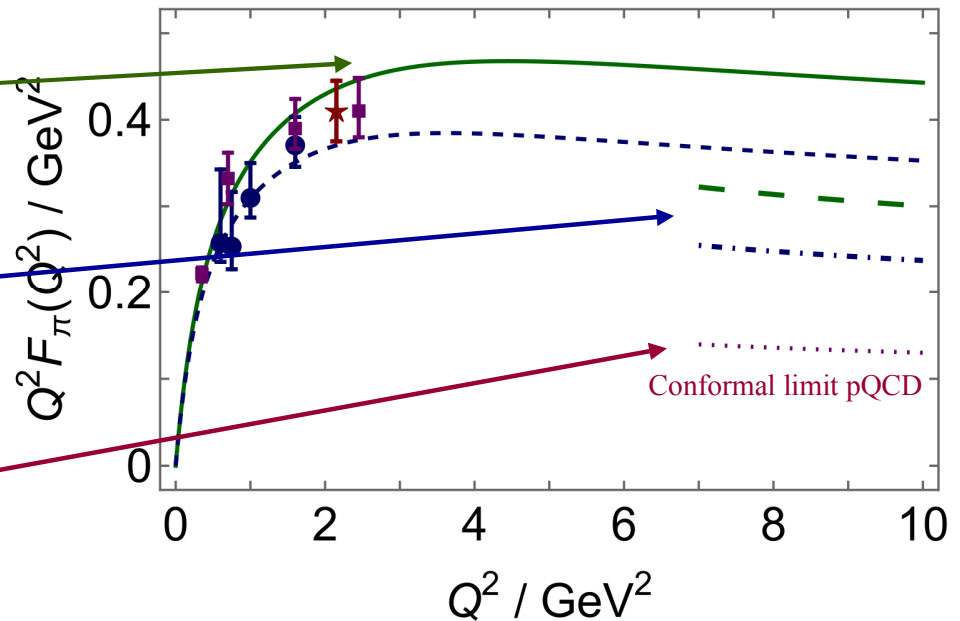
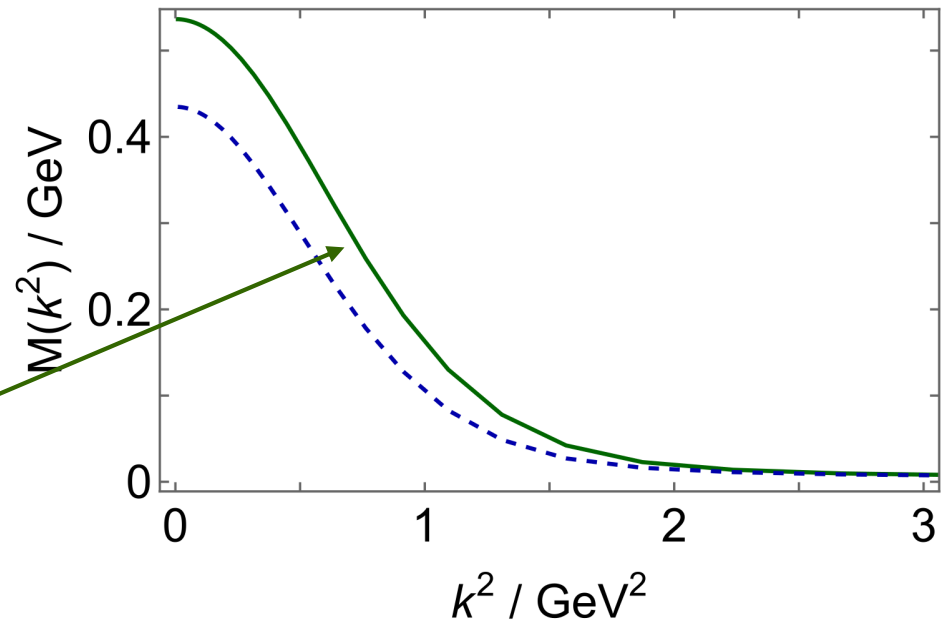
- Due to Emergent Hadronic Mass (EHM), Proton mass large in absence of quark couplings to Higgs boson (chiral limit).
- Conversely, and yet still due to EHM and DCSB,  $K$  and  $\pi$  are massless in chiral limit (i.e. they are Goldstone bosons of QCD).
- The mass budgets of these crucially important particles demand interpretation.
- Equations of QCD stress that any explanation of the proton's mass is incomplete, unless it simultaneously explains the light masses of QCD's Goldstone bosons, the  $\pi$  and  $K$ .

# Synergy: Emergent Mass and $\pi^+$ Form Factor

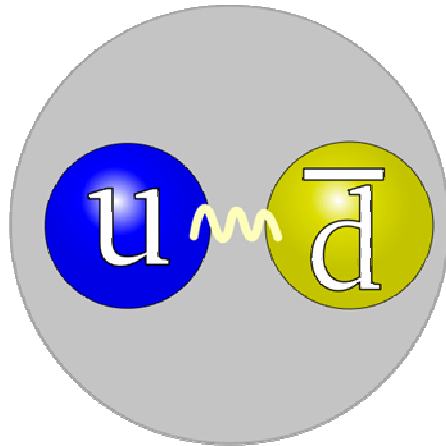
At empirically accessible energy scales,  $\pi^+$  form factor is sensitive to emergent mass scale in QCD

- Two dressed-quark mass functions distinguished by amount of DCSB
  - DCSB emergent mass generation is 20% stronger in system characterized by solid green curve, which is more realistic case
- $F_\pi(Q^2)$  obtained with these mass functions
  - $r_\pi=0.66$  fm with solid green curve
  - $r_\pi=0.73$  fm with solid dashed blue curve
- $F_\pi(Q^2)$  predictions from QCD hard scattering formula, obtained with related, computed pion PDAs
- QCD hard scattering formula, using conformal limit of pion's twist-2 PDA

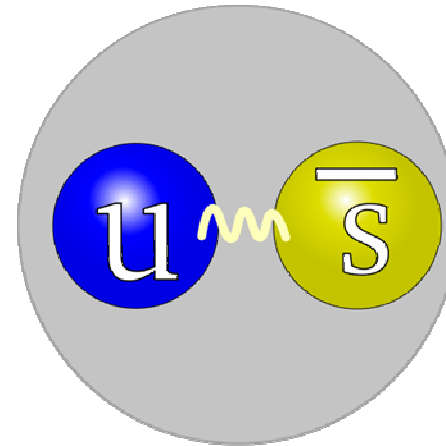
$$\phi_\pi^{cl}(x) = 6x(1-x)$$



# The Charged Kaon – a 2<sup>nd</sup> QCD test case



$\pi^+$



$K^+$

- In the hard scattering limit, pQCD predicts that the  $\pi^+$  and  $K^+$  form factors will behave similarly

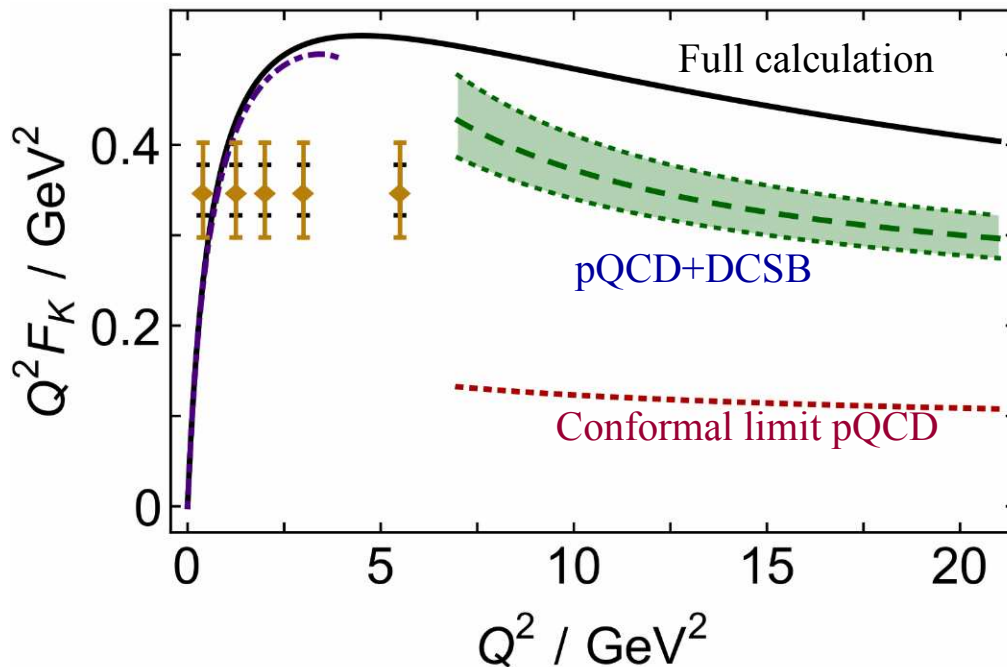
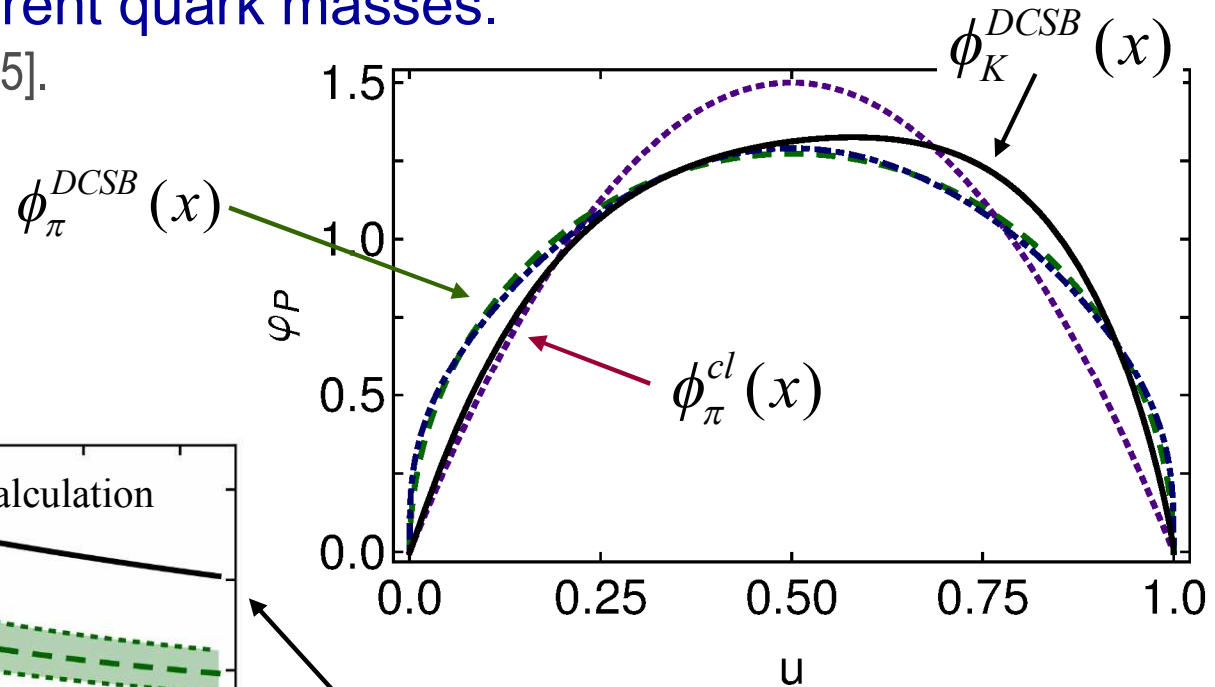
$$\frac{F_K(Q^2)}{F_\pi(Q^2)} \xrightarrow{Q^2 \rightarrow \infty} \frac{f_K^2}{f_\pi^2}$$

- It is important to compare the magnitudes and  $Q^2$ –dependences of both form factors.

# $K^+$ properties also strongly influenced by EHM

- $K^+$  PDA also is broad, concave and asymmetric.
- While the heavier  $s$  quark carries more bound state momentum than the  $u$  quark, the shift is markedly less than one might naively expect based on the difference of  $u, s$  current quark masses.

[C. Shi, et al., PRD 92 (2015) 014035].



- $F_K$  DCSB model prediction for JLab kinematics

[F. Guo, et al., arXiv: 1703.04875].



# Measurement of $\pi^+$ Form Factor – Low $Q^2$

**At low  $Q^2$** ,  $F_\pi$  can be measured model-independently via high energy elastic  $\pi^-$  scattering from atomic electrons in Hydrogen

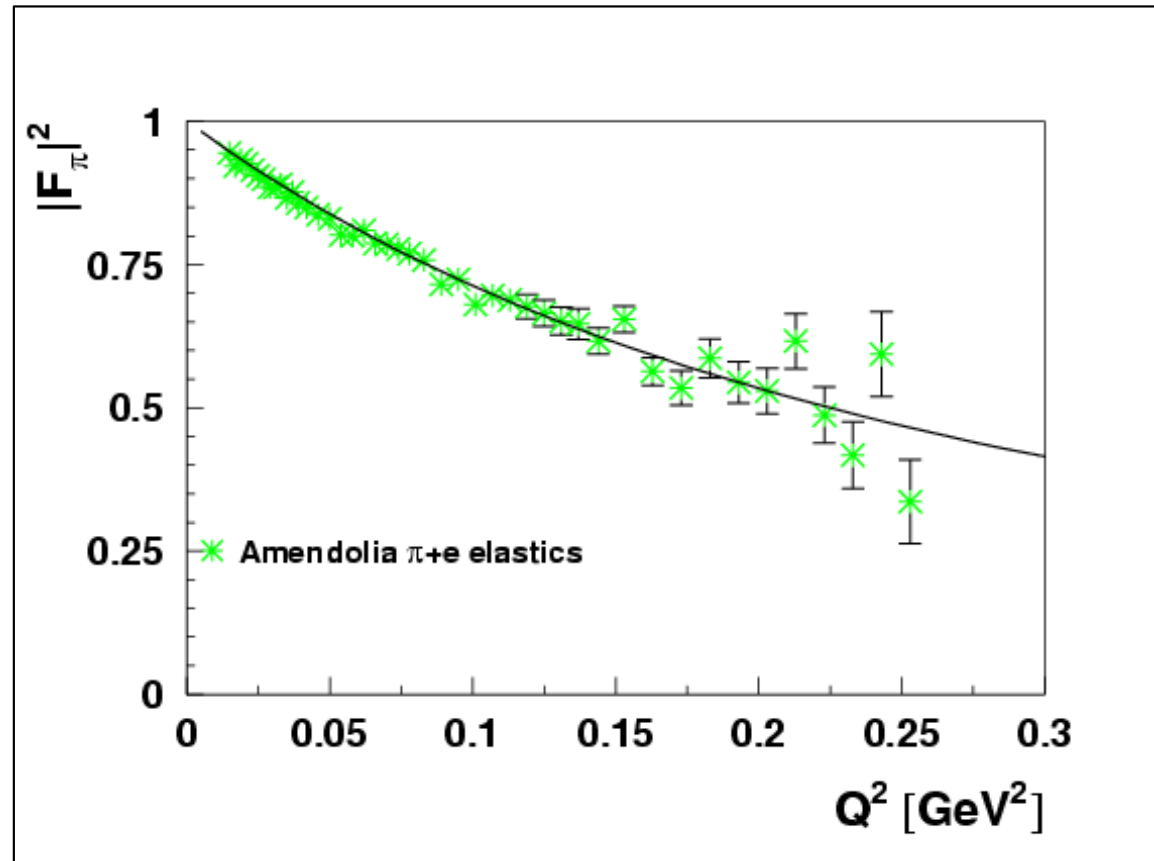
- CERN SPS used 300 GeV pions to measure form factor up to  $Q^2 = 0.25 \text{ GeV}^2$  [*Amendolia, et al., NPB 277(1986)168*]

- Data used to extract pion charge radius

$$r_\pi = 0.657 \pm 0.012 \text{ fm}$$

Maximum accessible  $Q^2$  roughly proportional to pion beam energy

*$Q^2=1 \text{ GeV}^2$  requires  
1 TeV pion beam*



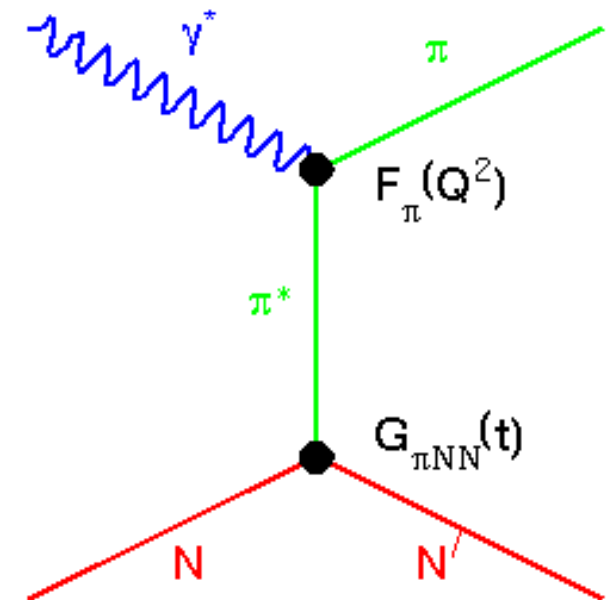
# Measurement of $\pi^+$ Form Factor – Higher $Q^2$

At larger  $Q^2$ ,  $F_\pi$  must be measured indirectly using the “pion cloud” of the proton via pion electroproduction  $p(e, e'\pi^+)n$

$$|p\rangle = |p\rangle_0 + |n\pi^+\rangle + \dots$$

- At small  $-t$ , the pion pole process dominates the longitudinal cross section,  $\sigma_L$
- In Born term model,  $F_\pi^2$  appears as,

$$\frac{d\sigma_L}{dt} \propto \frac{-tQ^2}{(t - m_\pi^2)} g_{\pi NN}^2(t) F_\pi^2(Q^2, t)$$



Drawbacks of this technique

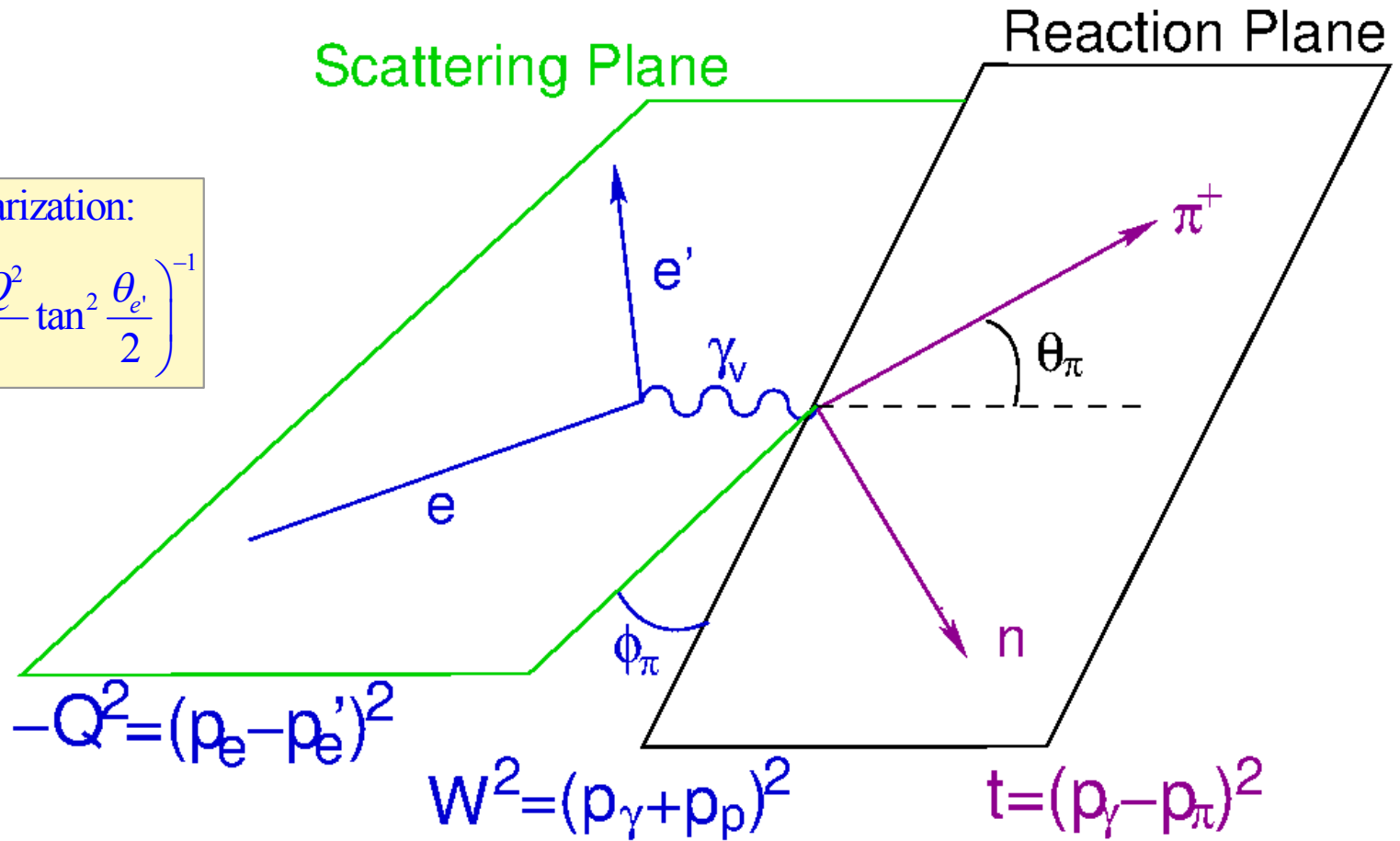
1. Isolating  $\sigma_L$  experimentally challenging
2. Theoretical uncertainty in form factor extraction.

$$2\pi \frac{d^2\sigma}{dt d\phi} = \varepsilon \frac{d\sigma_L}{dt} + \frac{d\sigma_T}{dt} + \sqrt{2\varepsilon(\varepsilon+1)} \frac{d\sigma_{LT}}{dt} \cos\phi + \varepsilon \frac{d\sigma_{TT}}{dt} \cos 2\phi$$

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Virtual-photon polarization:

$$\varepsilon = \left( 1 + 2 \frac{(E_e - E_{e'})^2 + Q^2 \tan^2 \frac{\theta_{e'}}{2}}{Q^2} \right)^{-1}$$



- L-T separation required to separate  $\sigma_L$  from  $\sigma_T$ .
- Need to take data at smallest available  $-t$ , so  $\sigma_L$  has maximum contribution from the  $\pi^+$  pole.

Error in  $d\sigma_L/dt$  is magnified by  $1/\Delta\varepsilon$

→ To keep magnification factor  $<5\times$ , need  $\Delta\varepsilon > 0.2$ , preferably more!

$$\frac{d^2\sigma}{dt d\phi} = \varepsilon \frac{d\sigma_L}{dt} + \frac{d\sigma_T}{dt} + \sqrt{2\varepsilon(\varepsilon+1)} \frac{d\sigma_{LT}}{dt} \cos\phi_\pi + \varepsilon \frac{d\sigma_{TT}}{dt} \cos 2\phi_\pi$$

$$\frac{\Delta\sigma_L}{\sigma_L} = \frac{1}{(\varepsilon_1 - \varepsilon_2)} \left( \frac{\Delta\sigma}{\sigma} \right) \sqrt{(R + \varepsilon_1)^2 + (R + \varepsilon_2)^2} \quad \text{where } R = \frac{\sigma_T}{\sigma_L}$$

$$\frac{\Delta\sigma_T}{\sigma_T} = \frac{1}{(\varepsilon_1 - \varepsilon_2)} \left( \frac{\Delta\sigma}{\sigma} \right) \sqrt{\varepsilon_1^2 \left( 1 + \frac{\varepsilon_2}{R} \right)^2 + \varepsilon_2^2 \left( 1 + \frac{\varepsilon_1}{R} \right)^2}$$

The relevant quantities for  $F_\pi$  extraction are  $R$  and  $\Delta\varepsilon$

$$\frac{d\sigma_L}{dt} \propto \frac{-tQ^2}{(t - m_\pi^2)} g_{\pi NN}^2(t) F_\pi^2(Q^2, t)$$

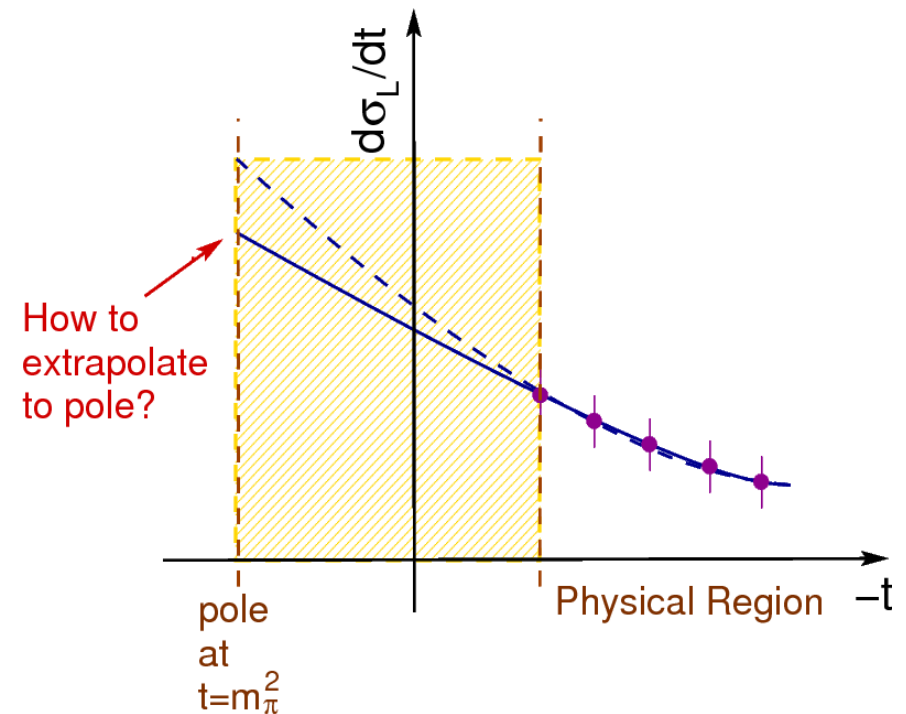
$p(e, e' \pi^+) n$  data are obtained some distance from the  $t = m_\pi^2$  pole.

→ “Chew Low” extrapolation method requires knowing the analytic dependence of  $d\sigma_L/dt$  through the unphysical region.

Extrapolation method last used in 1972 by Devenish & Lyth

- Very large systematic uncertainties.
- Failed to produce reliable result.

→ Different polynomial fits equally likely in physical region gave divergent form factor values when extrapolated to  $t = m_\pi^2$



The Chew–Low Method was subsequently abandoned

**Only reliable approach** is to use a model incorporating the  $\pi^+$  production mechanism and the 'spectator' nucleon to extract  $F_\pi$  from  $\sigma_L$

- JLab  $F_\pi$  experiments have used the Vanderhaeghen-Guidal-Laget (VGL) Regge model, as it has proven to give a reliable description of  $\sigma_L$  across a wide kinematic domain [*Vanderhaeghen, Guidal, Laget, PRC 57(1998)1454*]
- **More models would allow a better understanding of the model dependence of the  $F_\pi$  result.**
- Some recent model developments, more are welcome!
  - *R.J. Perry, A. Kizilersu, A.W. Thomas, PLB 807(2020)135581*
  - *T.K. Choi, K.J. Kong, B.G. Yu, J.Kor.Phy.Soc. 67(2015) L1089; arXiv: 1508.00969*
  - *T. Vrancx, J. Ryckebusch, PRC 89(2014)025203*

Our philosophy remains to publish our experimentally measured  $d\sigma_L/dt$ , so that updated values of  $F_\pi(Q^2)$  can be extracted as better models become available.

# JLab Hall C – 12 GeV Upgrade

## SHMS:

- 11 GeV/c Spectrometer
- Partner of existing 7 GeV/c HMS

## MAGNETIC OPTICS:

- Point-to Point QQQD for easy calibration and wide acceptance.
- Horizontal bend magnet allows acceptance at forward angles (5.5°)

## Detector Package:

- Drift Chambers
- Hodoscopes
- Cerenkovs
- Calorimeter

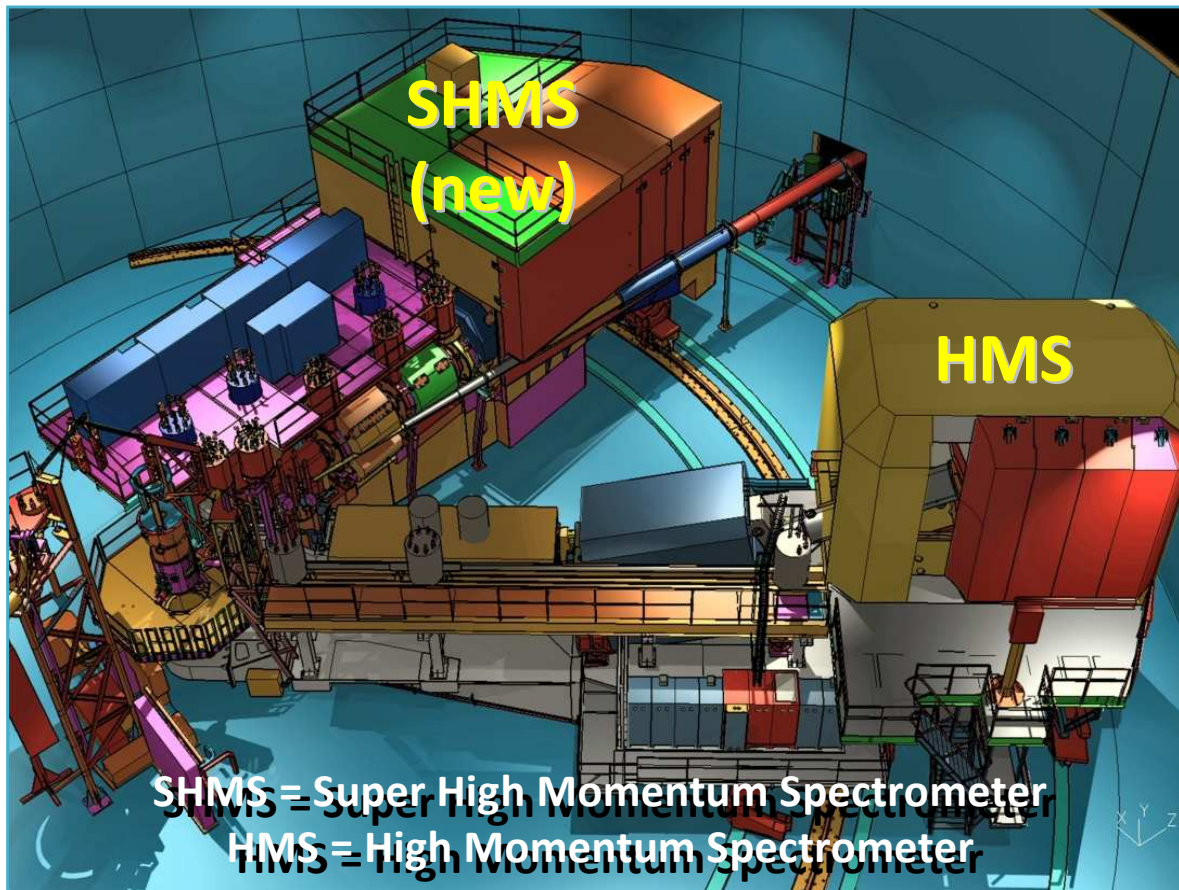
## Well-Shielded Detector Enclosure

## Rigid Support Structure

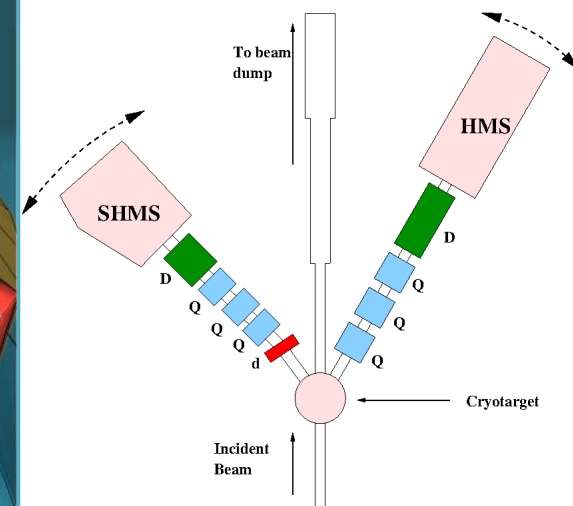
- Rapid & Remote Rotation
- Provides Pointing Accuracy & Reproducibility demonstrated in HMS

## Luminosity

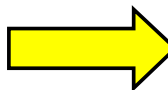
- $\sim 4 \times 10^{38} \text{ cm}^{-2} \text{ s}^{-1}$



SHMS = Super High Momentum Spectrometer  
 HMS = High Momentum Spectrometer

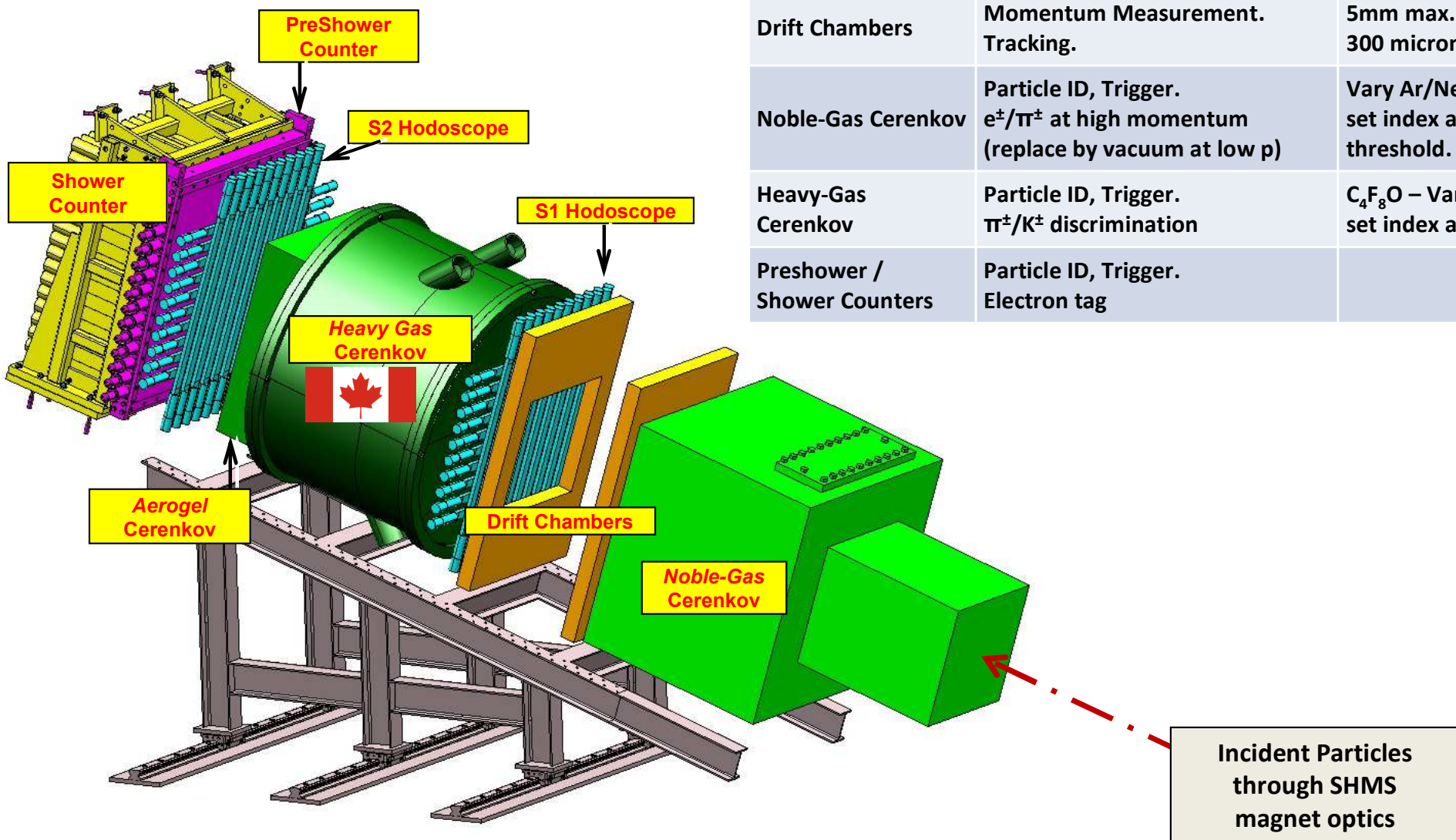


Upgraded Hall C has some similarity to SLAC End Station A, where the quark substructure of proton was discovered in 1968.



# SHMS Focal Plane Detector System

DETECTOR	PURPOSE	NOTES
S1XY, S2XY Hodoscopes	Lowest-level Trigger. Time reference	
Drift Chambers	Momentum Measurement. Tracking.	5mm max. drift 300 micron resolution
Noble-Gas Cerenkov	Particle ID, Trigger. $e^\pm/\pi^\pm$ at high momentum (replace by vacuum at low p)	Vary Ar/Ne mixture to set index at $\pi^\pm$ threshold.
Heavy-Gas Cerenkov	Particle ID, Trigger. $\pi^\pm/K^\pm$ discrimination	$C_4F_8O$ – Vary pressure to set index at $K^\pm$ threshold
Preshower / Shower Counters	Particle ID, Trigger. Electron tag	

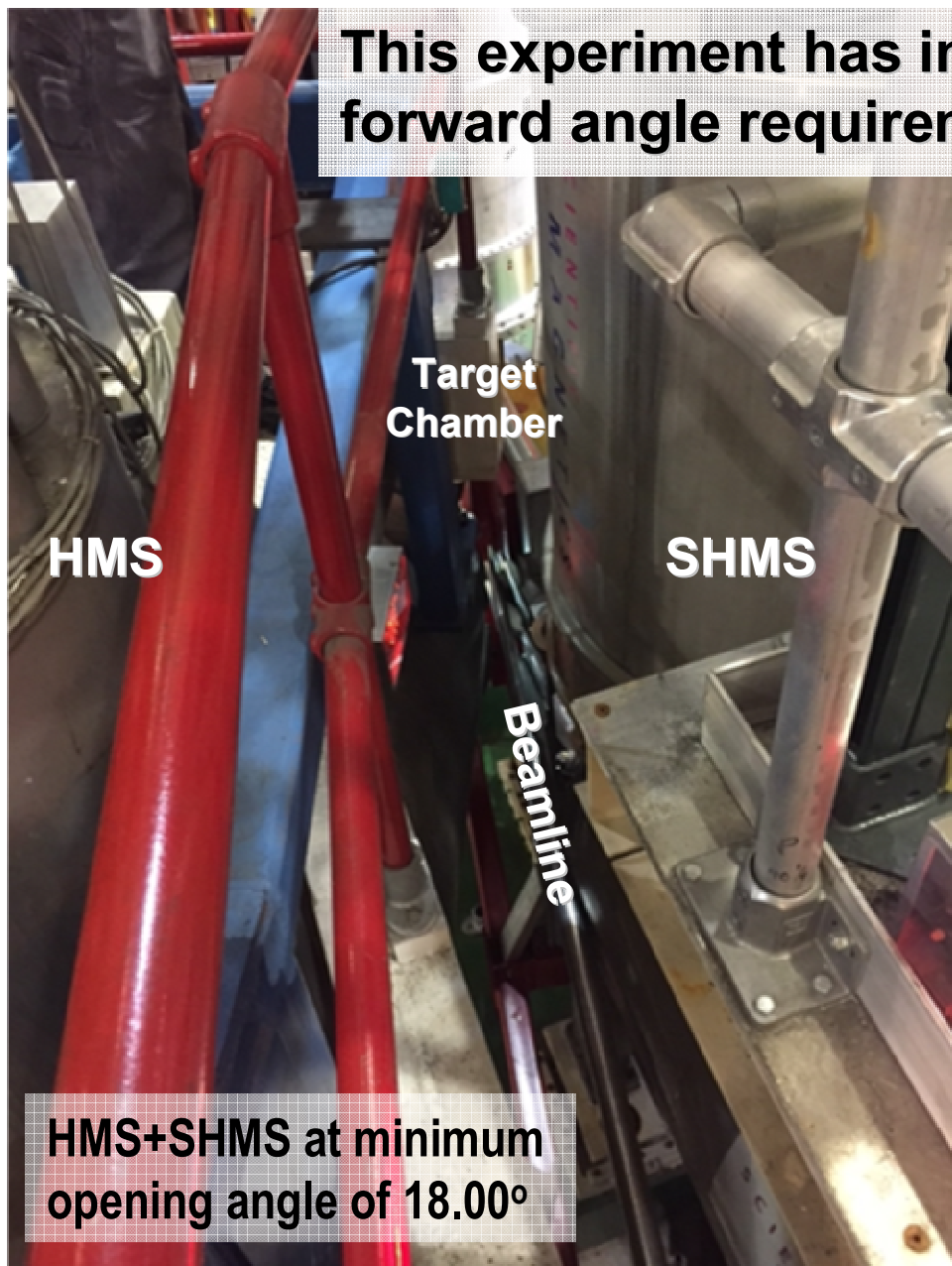


Incident Particles through SHMS magnet optics



# HMS and SHMS during Data Taking

This experiment has in large part driven the forward angle requirements of the SHMS+HMS

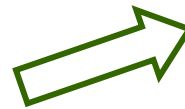


# $p(e, e' \pi^+) n$ Event Selection

## Coincidence measurement between charged pions in SHMS and electrons in HMS.

Easy to isolate  
exclusive channel

- Excellent particle identification
- CW beam minimizes “accidental” coincidences
- Missing mass resolution easily excludes 2-pion contributions



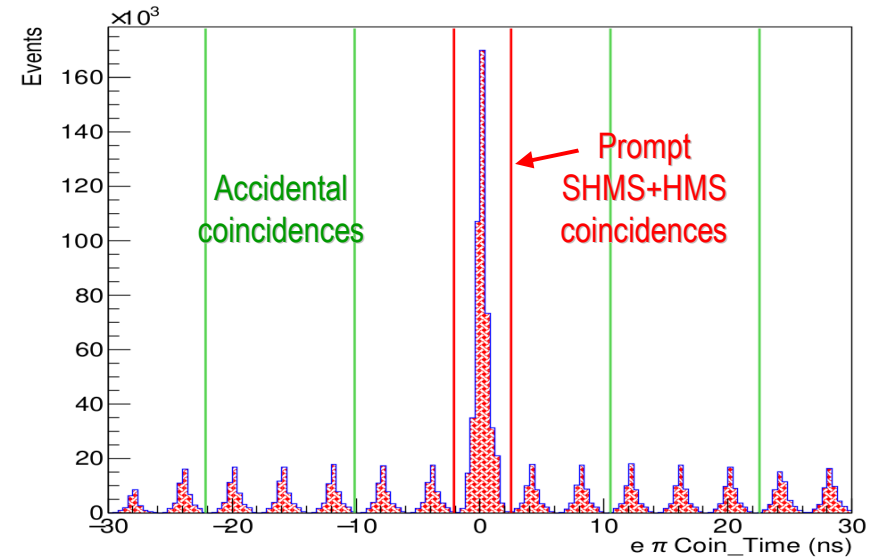
PionLT experiment E12-19-006 Data

$Q^2=1.60$ ,  $W=3.08$ ,  $x=0.157$ ,  $\varepsilon=0.685$

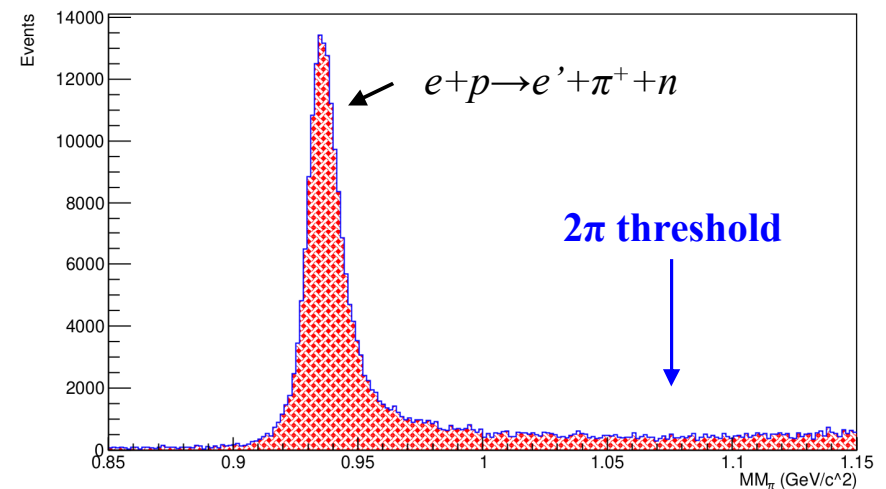
$E_{\text{beam}}=9.177$  GeV,  $P_{\text{SHMS}}=+5.422$  GeV/c,  $\theta_{\text{SHMS}}=10.26^\circ$  (left)

Plots by Muhammad Junaid (Regina)

Electron-Pion CTime Distribution



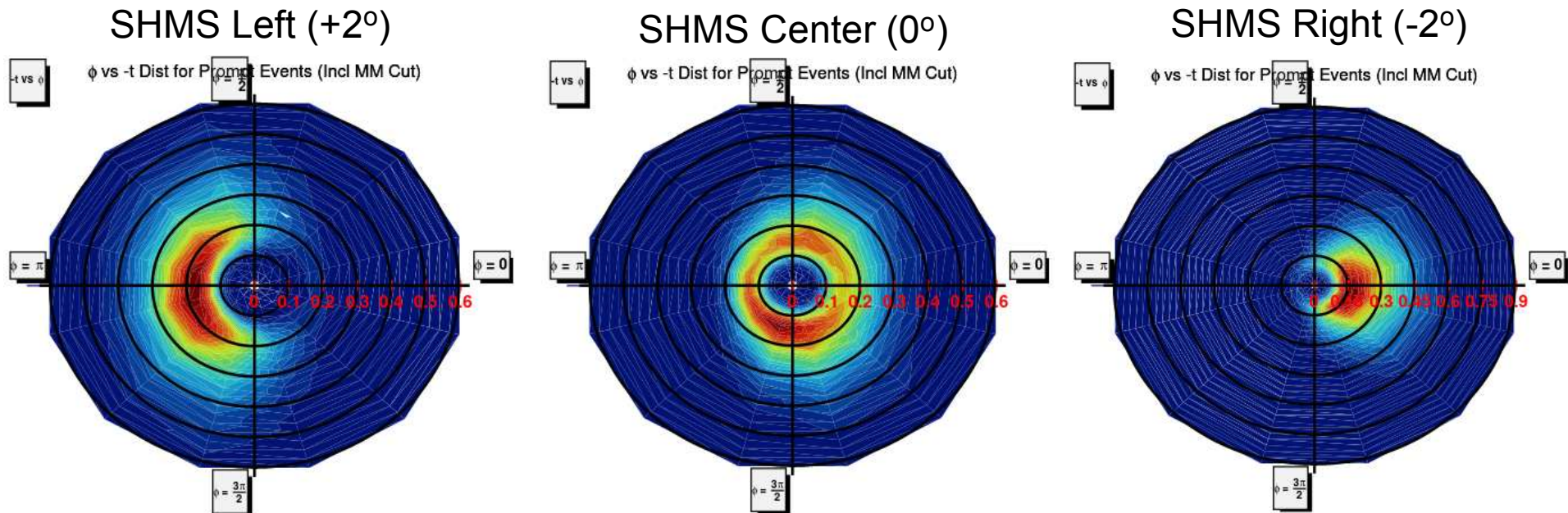
Missing Mass Distribution



# PionLT (E12-19-006) $t$ - $\phi$ Coverage

- Measure  $\sigma_{LT}$ ,  $\sigma_{TT}$  by taking data at three pion spectrometer (SHMS) angles,  $+2^\circ$ ,  $0^\circ$ ,  $-2^\circ$ , with respect to  $q$ -vector

Example  $t$ - $\phi$  plots from:  $Q^2=3.85$ ,  $W=3.07$ , High  $\epsilon$

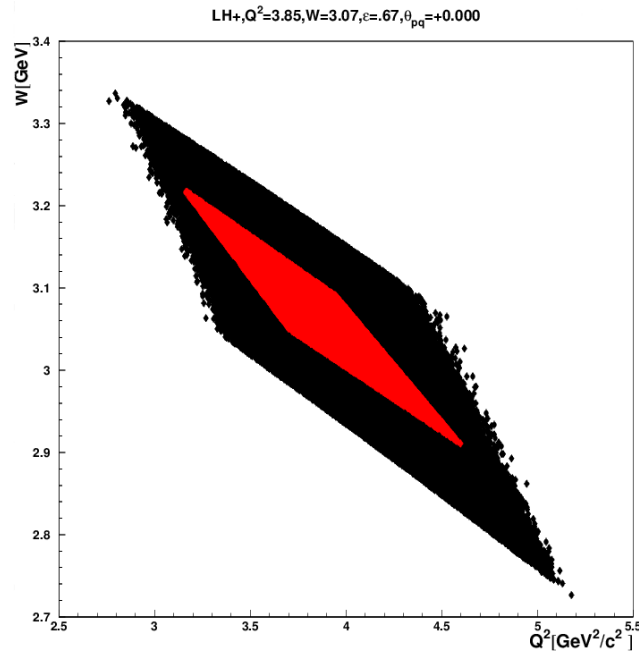


Plots by Nathan Heinrich (Regina)

- To control systematics, an excellent understanding of spectrometer acceptances is required
  - Over-constrained  $p(e, e'p)$  reaction, and inelastic  $e+^{12}\text{C}$ , used to calibrated spectrometer acceptances, momenta, kinematic offsets, efficiencies.
  - Control of point-to-point systematic uncertainties crucial due to  $1/\Delta\epsilon$  error amplification in  $\sigma_L$

# The different pion arm (SHMS) settings are combined to yield $\phi$ -distributions for each $t$ -bin

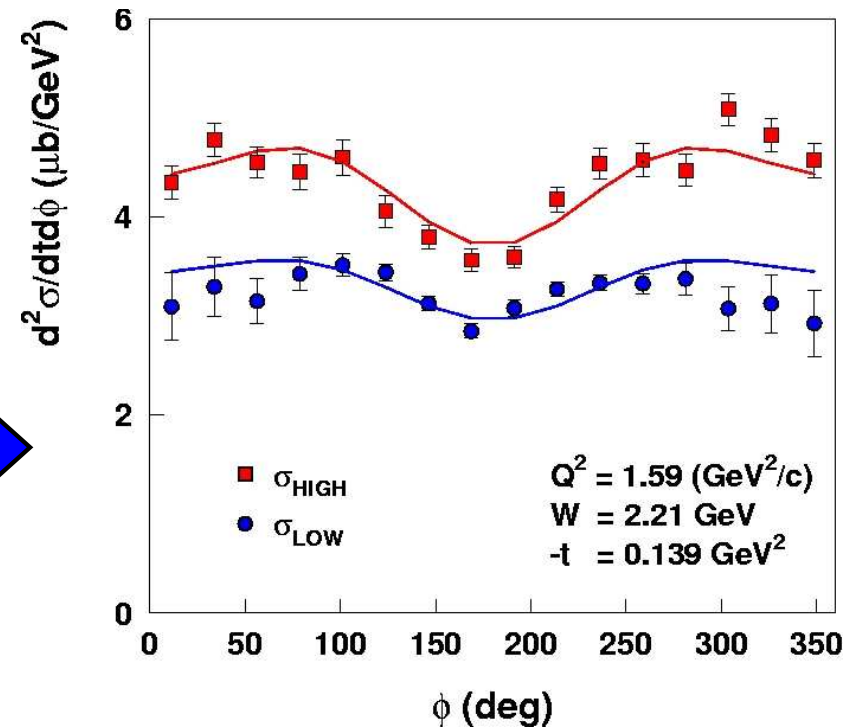
$$2\pi \frac{d^2\sigma}{dt d\phi} = \varepsilon \frac{d\sigma_L}{dt} + \frac{d\sigma_T}{dt} + \sqrt{2\varepsilon(\varepsilon+1)} \frac{d\sigma_{LT}}{dt} \cos\phi + \varepsilon \frac{d\sigma_{TT}}{dt} \cos 2\phi$$



Diamond cuts define common ( $W, Q^2$ ) coverage at both  $\varepsilon$

Simulated SHMS+HMS acceptance at  $Q^2=3.85, W=3.07$   
 ■ High  $\varepsilon=0.67$  ■ Low  $\varepsilon=0.30$

- Extract  $\sigma_L$  by simultaneous fit of L, T, LT, TT using measured azimuthal angle ( $\phi_\pi$ ) and knowledge of photon polarization ( $\varepsilon$ )



# Extract $F_\pi(Q^2)$ from JLab $\sigma_L$ data

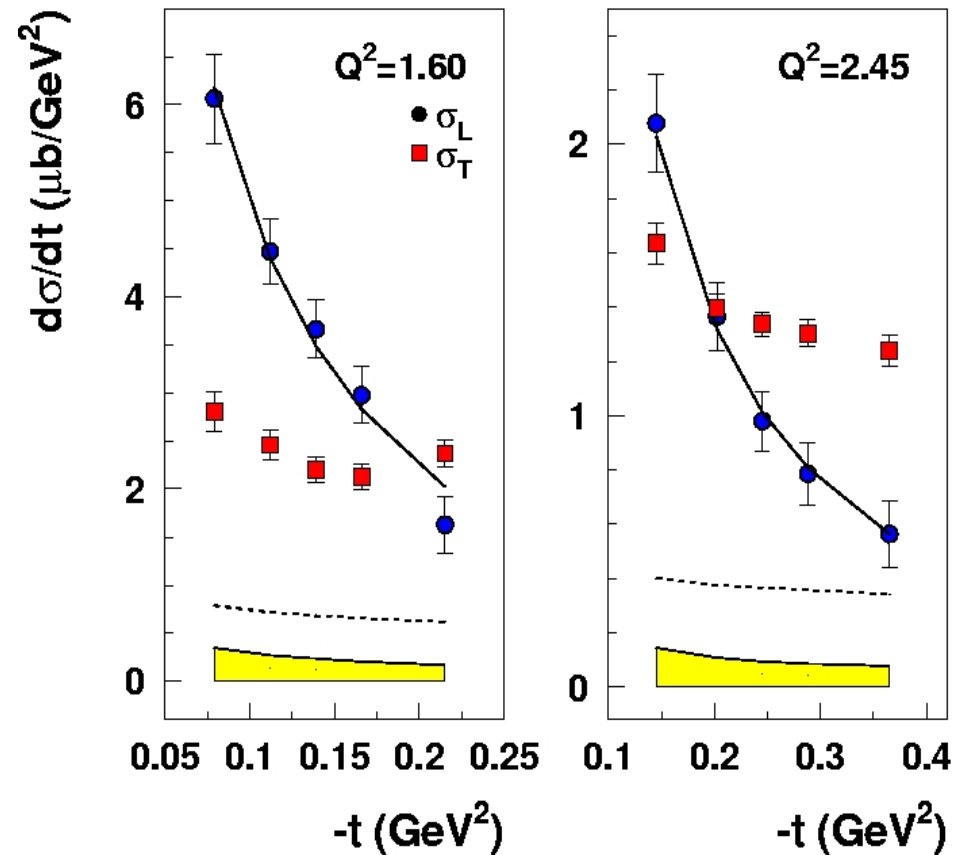
Model incorporates  $\pi^+$  production mechanism and spectator neutron effects:

## VGL Regge Model:

- Feynman propagator  $\left( \frac{1}{t - m_\pi^2} \right)$   
replaced by  $\pi$  and  $\rho$  Regge propagators.
  - Represents the exchange of a series of particles, compared to a single particle.
- Free parameters:  $\Lambda_\pi, \Lambda_\rho$  (trajectory cutoff)  
[Vanderhaeghen, Guidal, Laget, PRC 57(1998)1454]
- At small  $-t$ ,  $\sigma_L$  only sensitive to  $F_\pi$

$$F_\pi = \frac{1}{1 + Q^2 / \Lambda_\pi^2}$$

Fit to  $\sigma_L$  to model  
gives  $F_\pi$  at each  $Q^2$



Error bars indicate statistical and random (pt-pt) systematic uncertainties in quadrature.

Yellow band indicates the correlated (scale) and partly correlated (t-corr) systematic uncertainties.

$$\Lambda_\pi^2 = 0.513, 0.491 \text{ GeV}^2, \Lambda_\rho^2 = 1.7 \text{ GeV}^2.$$

# Current and Projected $F_\pi$ Data

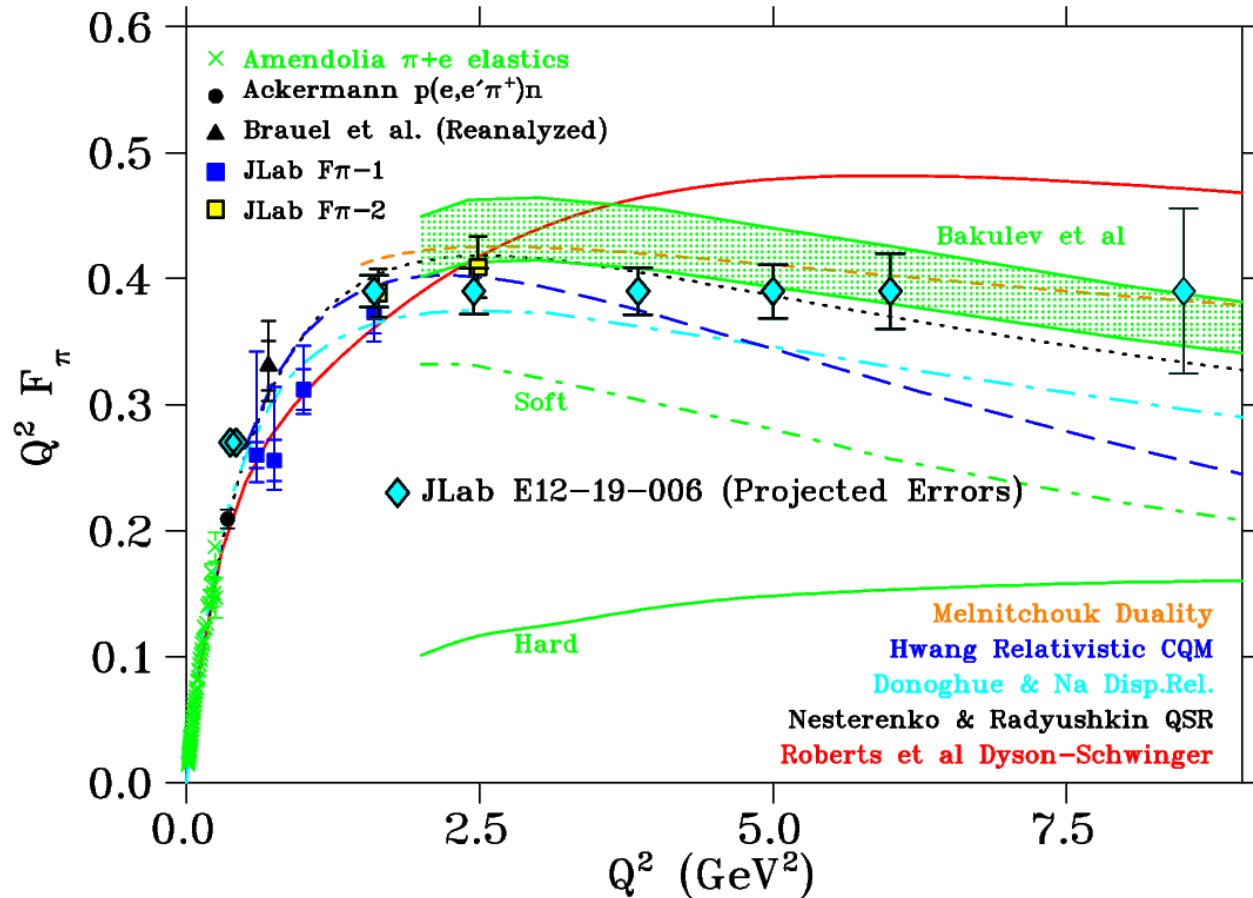
SHMS+HMS will allow measurement of  $F_\pi$  to much higher  $Q^2$

No other facility worldwide can perform this measurement

Data taking completed September 2022 (E12-19-006: G. Huber, D. Gaskell and T. Horn, spokespersons)

y-positions of projected points are arbitrary

Error bars are calculated from obtained statistics and projected systematic uncertainties



The  $\sim 10\%$  measurement of  $F_\pi$  at  $Q^2=8.5 \text{ GeV}^2$  is at higher  $-t_{min}=0.45 \text{ GeV}^2$

The pion form factor is the clearest test case for studies of QCD's transition from non-perturbative to perturbative regions

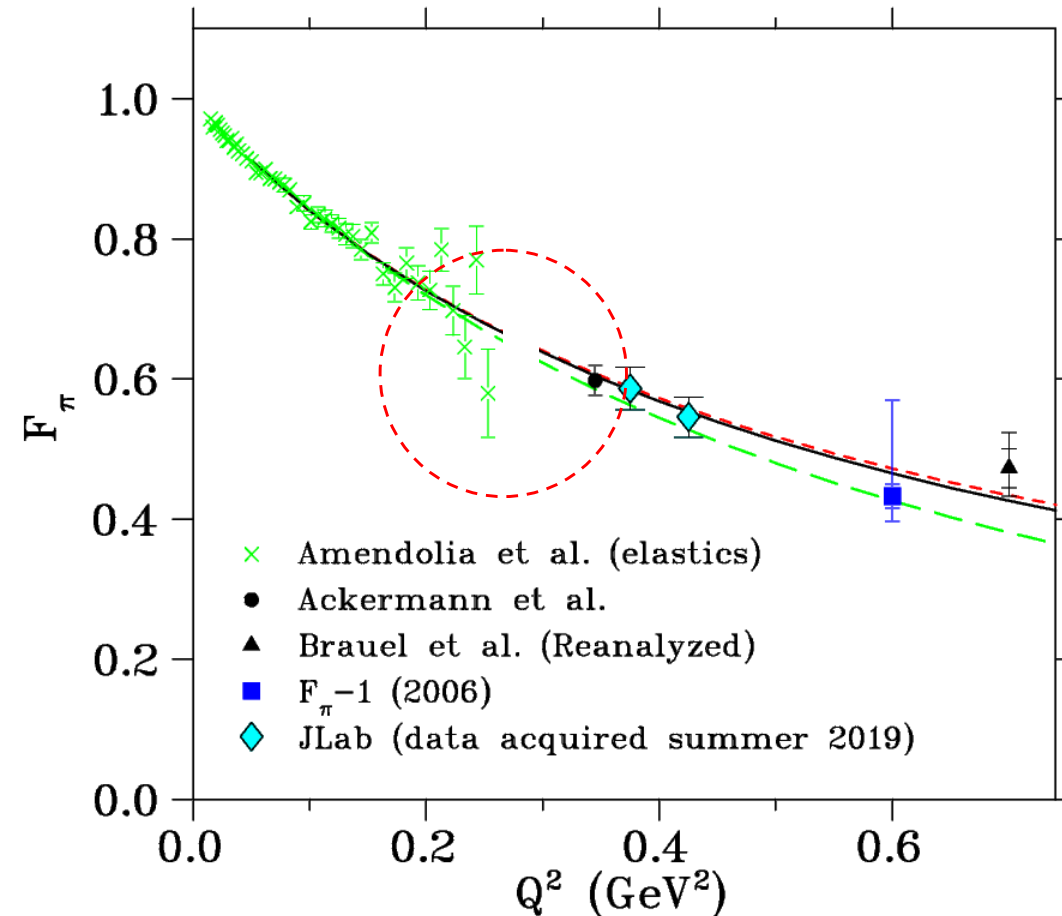
- A common criticism of the electroproduction technique is the difficulty to be certain one is measuring the “physical” form factor.

“What is at best measured in electroproduction is the transition amplitude between a mesonic state with an effective space-like mass  $m^2=t<0$  and the physical pion. It is theoretically possible that the off-shell form factor  $F_\pi(Q^2,t)$  is significantly larger than the physical form factor because of its bias towards more point-like  $q\bar{q}$  valence configurations within its Fock state structure.”  
--S.J. Brodsky, Handbook of QCD, 2001.

- What tests/studies can we do to give confidence in the result?
  - Check consistency of model with data.
  - Extract form factor at several values of  $-t_{min}$  for fixed  $Q^2$ .
  - Test that the pole diagram is really the dominant contribution to the reaction mechanism.
  - Verify that electroproduction technique yields results consistent with  $\pi^+e$  elastic scattering at same  $Q^2$ .

# Check of Pion Electroproduction Technique

- Does electroproduction really measure the on-shell form-factor?
- Test by making  $p(e, e' \pi^+) n$  measurements at same kinematics as  $\pi^+ e$  elastics.
- ***Can't quite reach the same  $Q^2$ , but electro-production appears consistent with extrapolated elastic data.***



## Data for new test acquired in Summer 2019:

- small  $Q^2$  (0.375, 0.425) competitive with DESY  $Q^2=0.35$
- $-t$  closer to pole ( $=0.008 \text{ GeV}^2$ ) vs. DESY 0.013

Expecting results to be finalized soon — V. Kumar (Regina)

- A similar test for  $F_{K^+}$  (KaonLT) is under analysis — A. Hamdi (Regina)



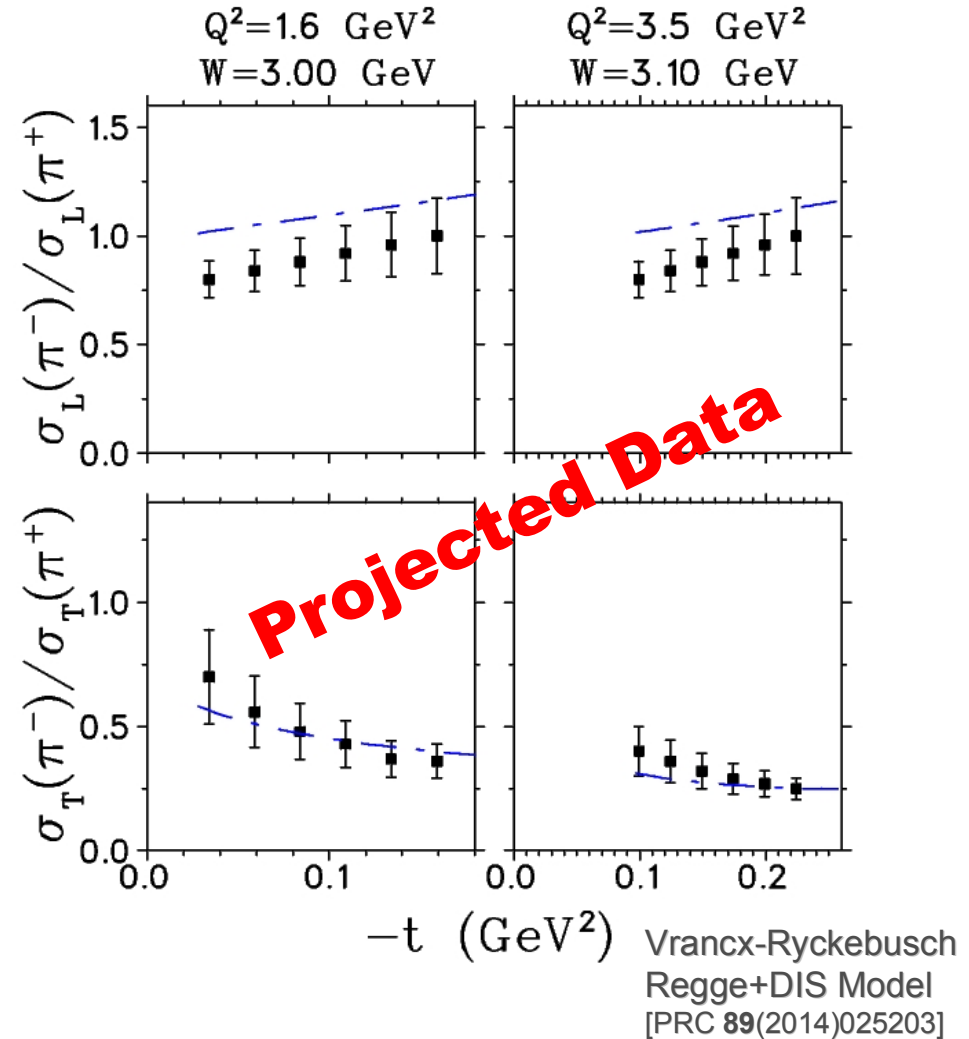
# Verify that $\sigma_L$ is dominated by $t$ -channel process

- $\pi^+$   $t$ -channel diagram is purely isovector.
- Measure

$$R_L = \frac{\sigma_L[n(e, e' \pi^-) p]}{\sigma_L[p(e, e' \pi^+) n]} = \frac{|A_V - A_S|^2}{|A_V + A_S|^2}$$

using a deuterium target.

- Isoscalar backgrounds (such as  $b_1(1235)$  contributions to the  $t$ -channel) will dilute the ratio.
- We will do the same tests at  $Q^2=1.60, 3.85, 6.0 \text{ GeV}^2$ .



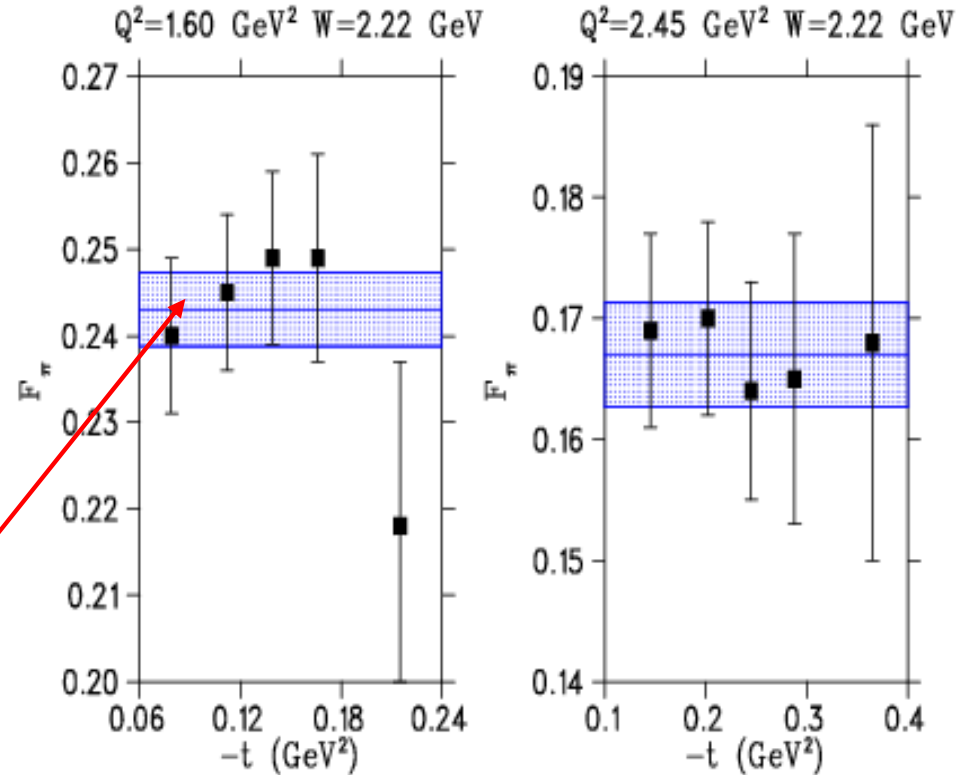
Because one of the many problems encountered by the historical data was isoscalar contamination, this test will increase the confidence in the extraction of  $F_\pi(Q^2)$  from our  $\sigma_L$  data.

# $F_{\pi-2}$ VGL $p(e, e'\pi^+)n$ model check

- To check whether VGL Regge model properly accounts for:
  - $\pi^+$  production mechanism.
  - spectator nucleon.
  - other off-shell ( $t$ -dependent) effects.

extract  $F_{\pi}$  values for each  $t$ -bin separately, instead of one value from fit to all  $t$ -bins.

Error band based on fit to all  $t$ -bins.



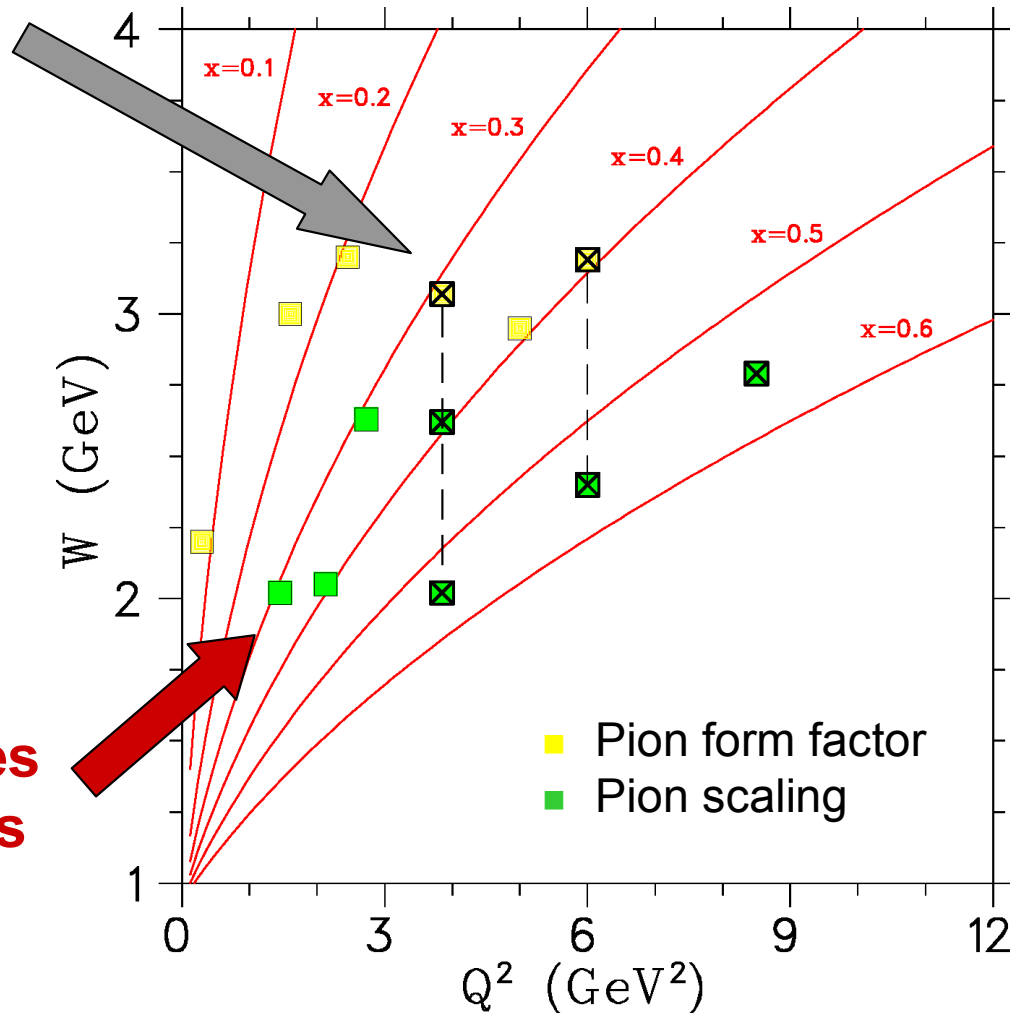
Only statistical and  $t$ -uncorrelated systematic uncertainties shown

- Deficiencies in model may show up as  $t$ -dependence in extracted  $F_{\pi}(Q^2)$  values.
- Resulting  $F_{\pi}$  values are insensitive (<2%) to  $t$ -bin used.
- Lends confidence in applicability of VGL model to the kinematical regime of the JLab data, and the validity of the extracted  $F_{\pi}(Q^2)$  values.

Points along vertical lines allow  $F_\pi$  values at different distances from pion pole, to check model properly accounts for:

- $\pi^+$  production mechanism
- spectator nucleon
- off-shell ( $t$ -dependent) effects

Points along red curves allow  $1/Q^n$  scaling tests at fixed  $x_B$



For more details, visit Pion-LT RedMine: <https://redmine.jlab.org/projects/hall-c/wiki/>

# Measurement of $K^+$ Form Factor

- Similar to  $\pi^+$  form factor, elastic  $K^+$  scattering from electrons used to measure charged kaon form factor at low  $Q^2$

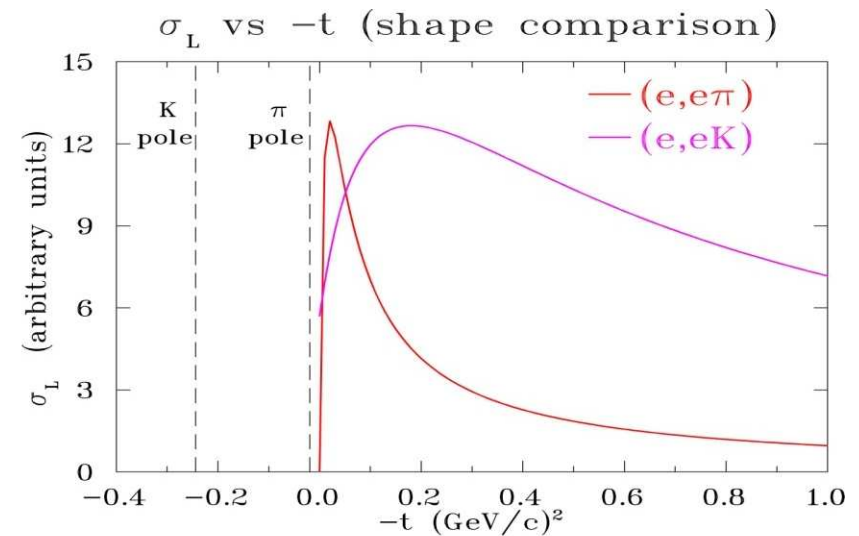
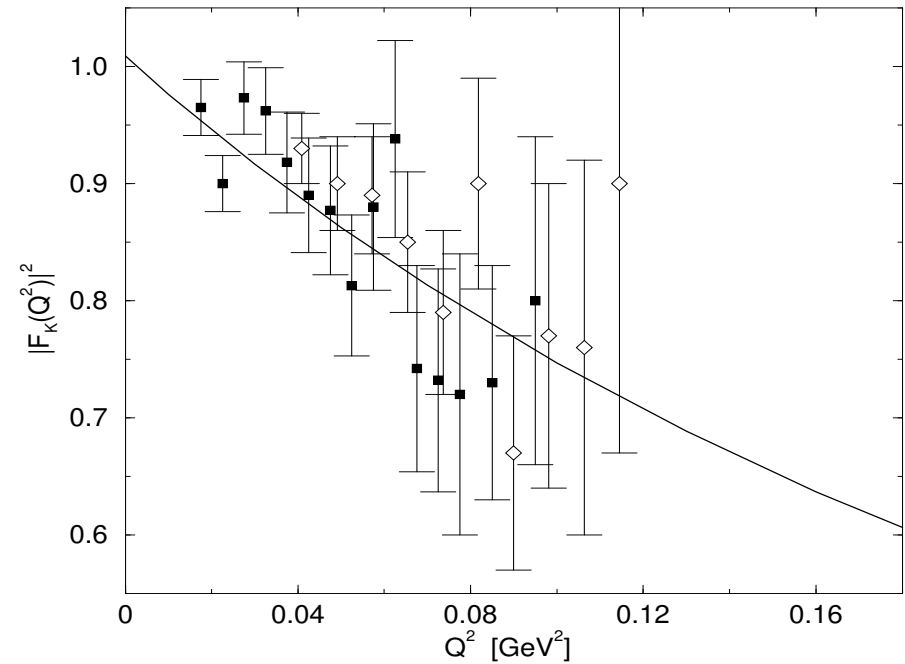
[Amendolia, et al., PL **B178** (1986) 435]

- Can “kaon cloud” of the proton be used in the same way as the pion to extract kaon form factor via  $p(e, e'K^+)_{\Lambda}$  ?

- Kaon pole further from kinematically allowed region

$$\frac{d\sigma_L}{dt} \propto \frac{-tQ^2}{(t - m_K^2)} g_{K\Lambda N}^2(t) F_K^2(Q^2, t)$$

- Many of these issues are being explored in JLab E12-09-011



- Measure the  $-t$  dependence of the  $p(e, e'K^+)\Lambda, \Sigma^0$  cross section at fixed  $Q^2$  and  $W > 2.5$  GeV to search for evidence of  $K^+$  pole dominance in  $\sigma_L$ 
  - Separate the cross section components: L, T, LT, TT
  - First L/T measurement above the resonance region in  $K^+$  production
- **If warranted by the data, extract the  $Q^2$  dependence of the kaon form factor to shed new light on QCD's transition to quark-gluon degrees of freedom.**
- **Even if we cannot extract the kaon form factor, the measurements are important.**
  - $K^+\Lambda$  and  $K^+\Sigma^0$  reaction mechanisms provide valuable information in our study of hadron structure
  - Flavor degrees of freedom provide important information for QCD model building and understanding of basic coupling constants

## Isolate Exclusive Final States via Missing Mass

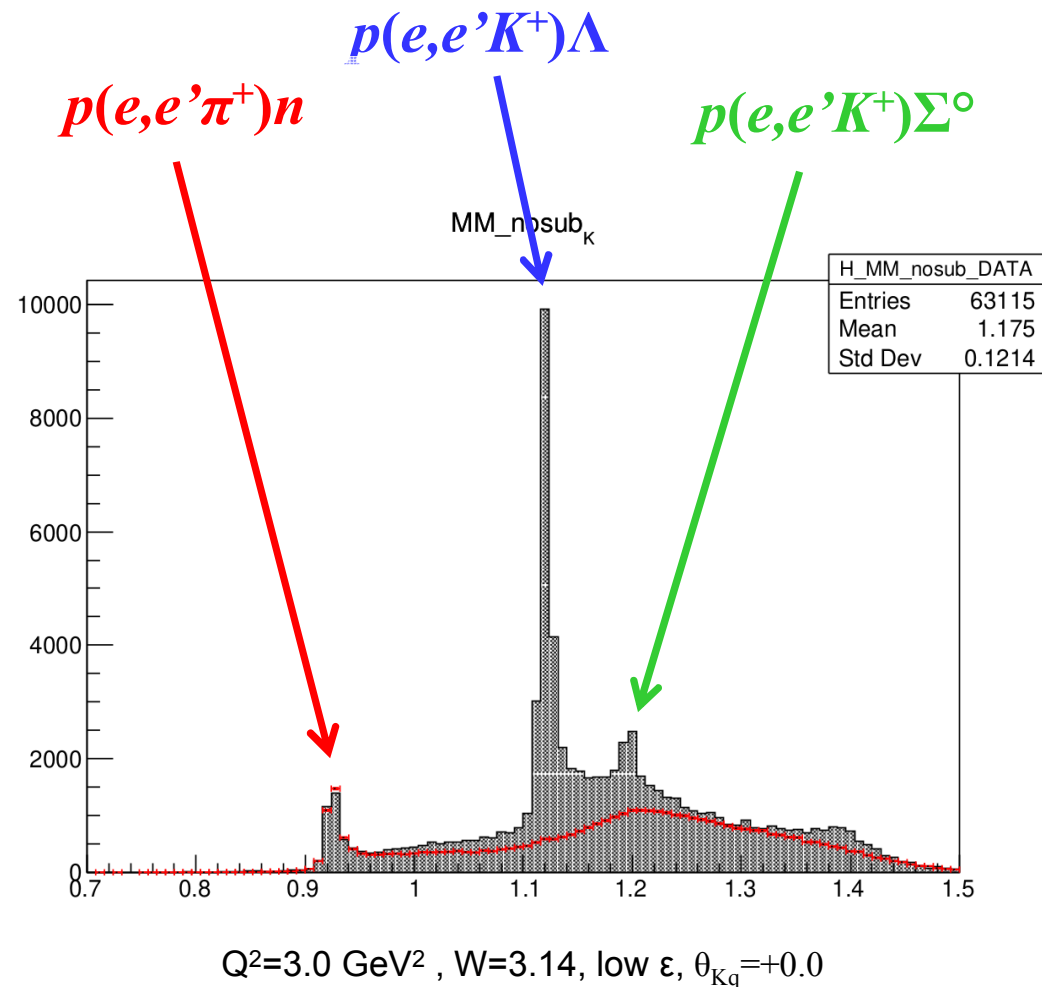
$$M_X = \sqrt{(E_{\text{det}} - E_{\text{init}})^2 - (p_{\text{det}} - p_{\text{init}})^2}$$

- Spectrometer coincidence acceptance allows for simultaneous studies of  $\Lambda$  and  $\Sigma^0$  channels.

- Kaon-pole dominance test through

$$\frac{\sigma_L(\gamma^* p \rightarrow K^+ \Sigma^0)}{\sigma_L(\gamma^* p \rightarrow K^+ \Lambda^0)}$$

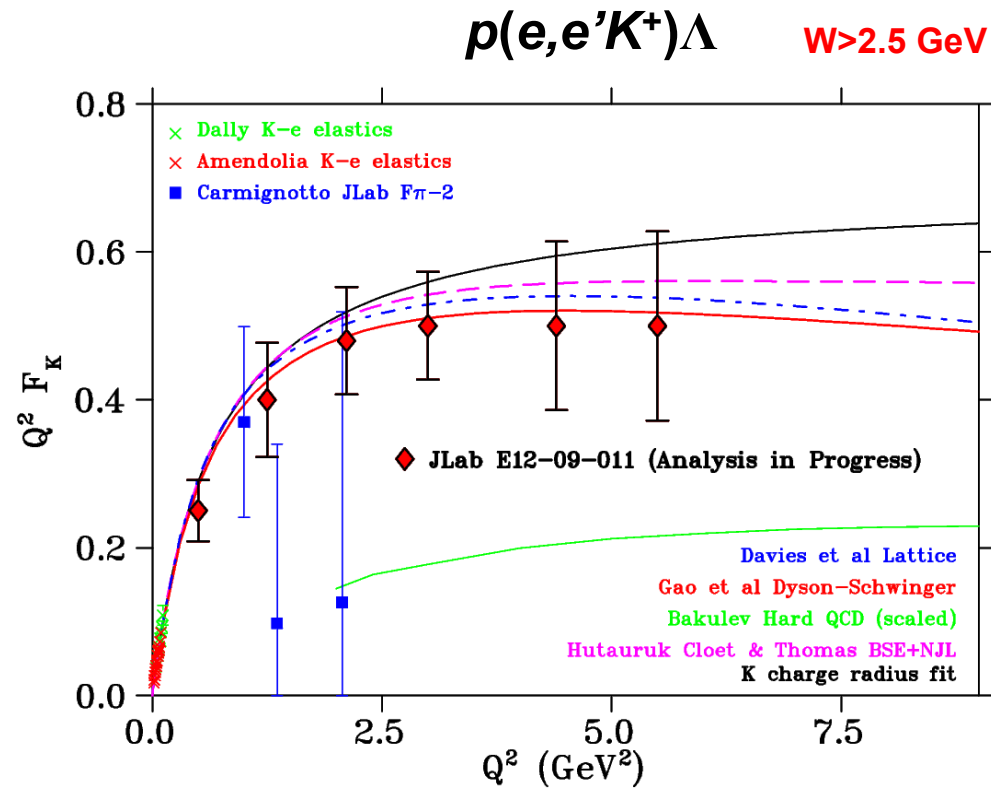
- Should be similar to ratio of  $g^2_{pK\Lambda}/g^2_{pK\Sigma}$  coupling constants if t-channel exchange dominates.



Plot by Richard Trotta (CUA/Virginia)

# Projected Uncertainties for $K^+$ Form Factor

- First measurement of  $F_K$  well above the resonance region.
- Measure form factor to  $Q^2=3 \text{ GeV}^2$  with good overlap with elastic scattering data.
  - Limited by  $-t < 0.2 \text{ GeV}^2$  requirement to minimize non-pole contributions.
- Data will provide an important second  $q\bar{q}$  system for theoretical models, this time involving a strange quark.



Extraction of  $F_K$  from  $Q^2 > 4 \text{ GeV}^2$  data is more uncertain, due to higher  $-t_{\min}$

- **Partially completed as an early SHMS commissioning experiment: LT-separation**  
(E12-09-011: T. Horn, G. Huber and P. Markowitz, spokespersons)
- **Data under analysis, expecting final results next year**  
— R. Trotta (CUA/Virginia)

## ■ Physics Motivation:

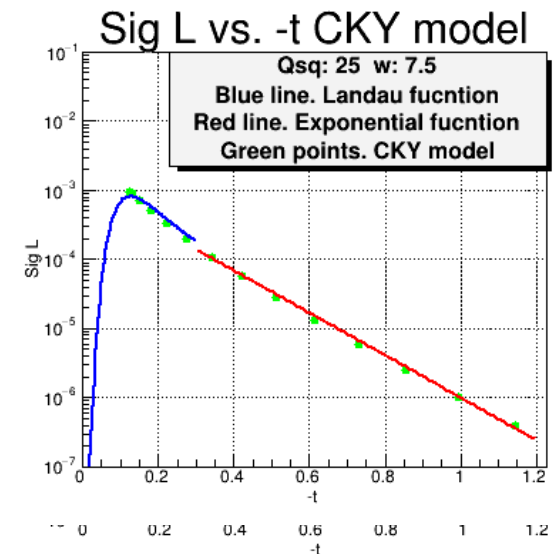
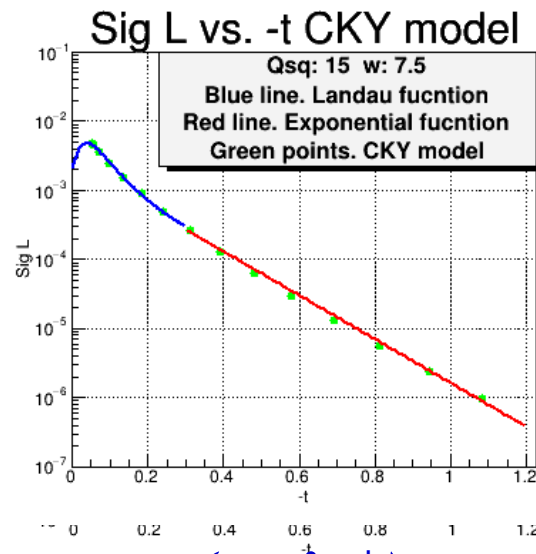
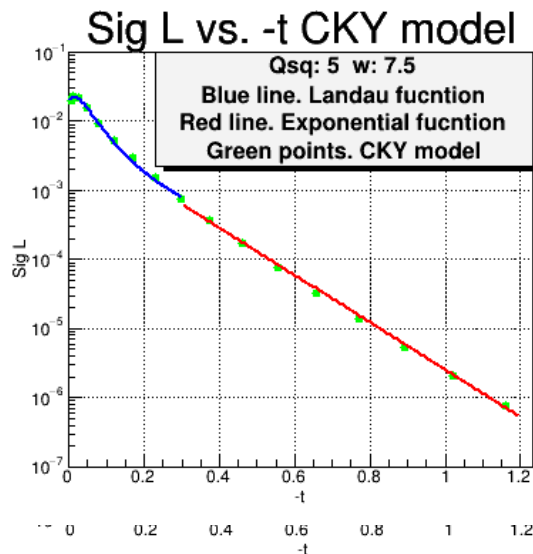
- $\pi^+$  and  $K^+$  structure studies are important for understanding QCD's transition from “weak” and “strong” domains, and understanding DCSB's role in generating hadron properties
- Definite answers to these questions require high  $Q^2$  data well beyond JLab's reach, the EIC may provide these data

## ■ Experimental Issues:

- The DEMP cross section is small, can the exclusive  $p(e, e'\pi^+)n$  and  $p(e, e'K^+)\Lambda$  channels be cleanly identified?
  - Count rates, Detector Acceptances?
- Is the detector resolution sufficient to reliably reconstruct  $(Q^2, W, t)$ ?
- How to measure the longitudinal cross section  $d\sigma_L/dt$  needed for form factor extraction?



- Regge-based  $p(e, e' \pi^+)n$  model of *T.K. Choi, K.J. Kong, B.G. Yu (CKY)* [J.Kor.Phys.Soc. 67(2015)1089]
  - Created a MC event generator by parameterizing CKY  $\sigma_L, \sigma_T$  for  $5 < Q^2 \text{ (GeV}^2\text{)} < 35$   $2.0 < W \text{ (GeV)} < 10$   $0 < -t \text{ (GeV}^2\text{)} < 1.2$
- Extended to  $p(e, e' K^+) \Lambda[\Sigma^0]$  by parameterizing Regge-based model of M. Guidal, J.M. Laget, M. Vanderhaeghen (VGL) [PRC 61 (2000) 025204]
- New paper describing our generator [arXiv:2403.06000](https://arxiv.org/abs/2403.06000)



$p(e, e' \pi^+)n$

## Assure exclusivity of $p(e, e' \pi^+ n)$ reaction by detecting all 3 particles

IR6:  $5(e^-) \times 100(p)$  GeV Collisions  $\rightarrow E_{cm} = 44.7$  GeV

### Scattered electrons:

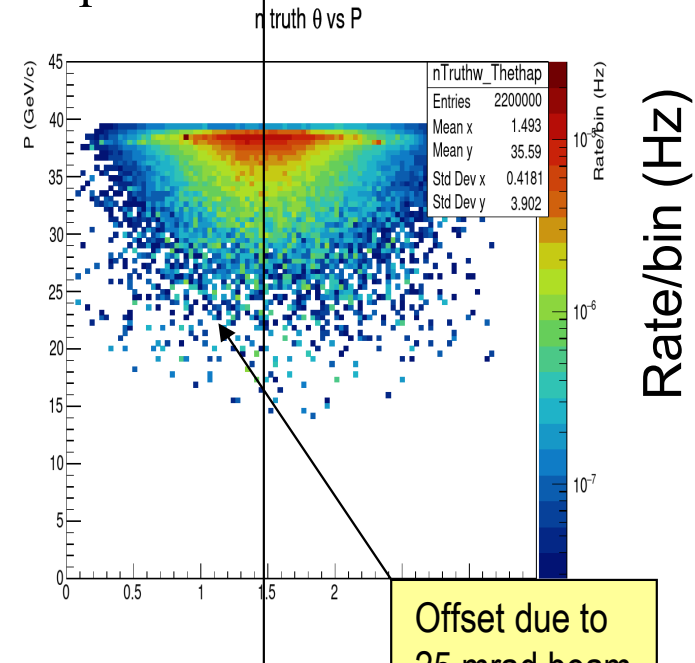
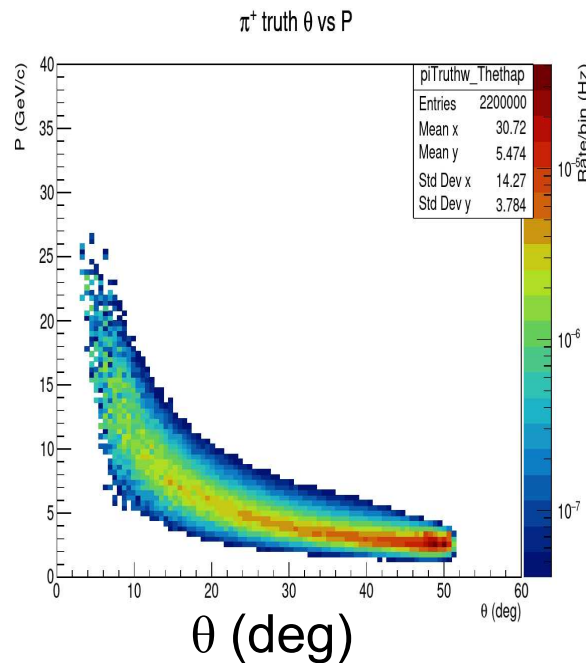
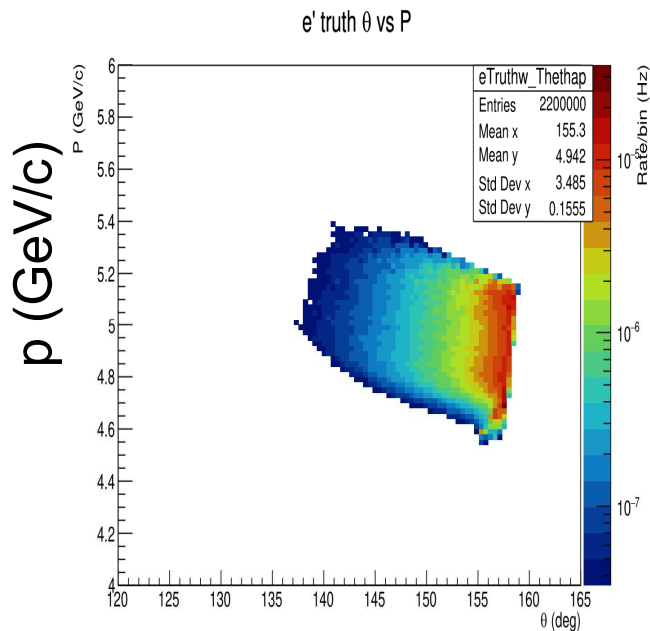
5–6 GeV/c,  
25–50° from  
outgoing e beam

### Pions:

3–40 GeV/c,  
3–40° from p beam

### Neutrons:

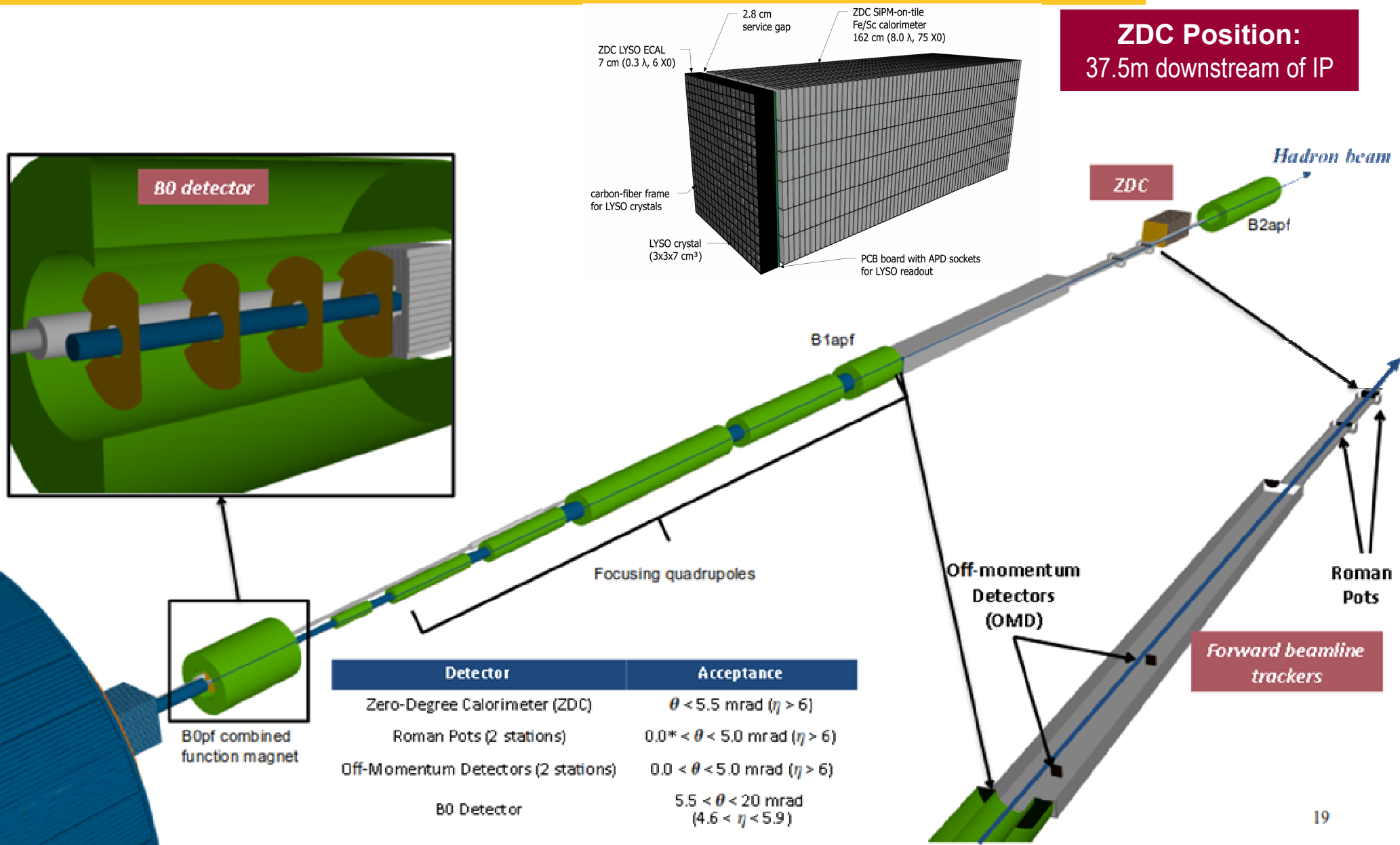
65–98 GeV/c  
<0.7° of outgoing  
proton beam



Offset due to  
25 mrad beam  
crossing angle

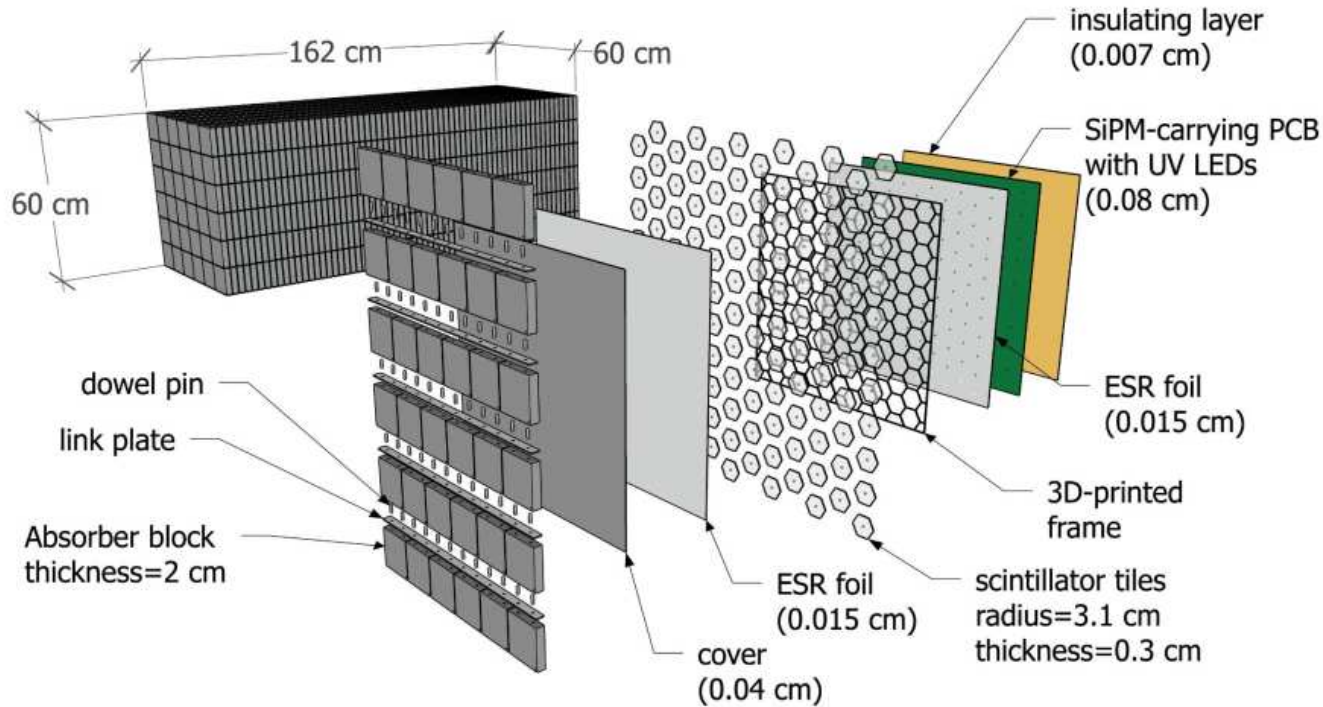
$e-\pi-n$  triple coincidences, weighted by cross section, truth info

# EIC Far Forward Detectors



- Vital to isolate exclusive  $p(e, e'\pi^+n)$  process from competing inclusive reactions
- EIC measurement impossible unless recoil high momentum neutron is efficiently detected

# Neutron Reconstruction in ZDC

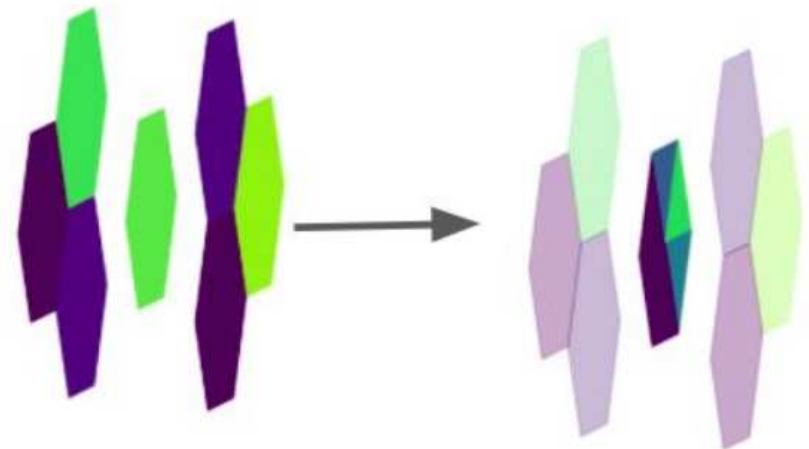


Figures courtesy of  
Miguel Arratia  
(UC Riverside)

## HEXPLIT Algorithm

input

output

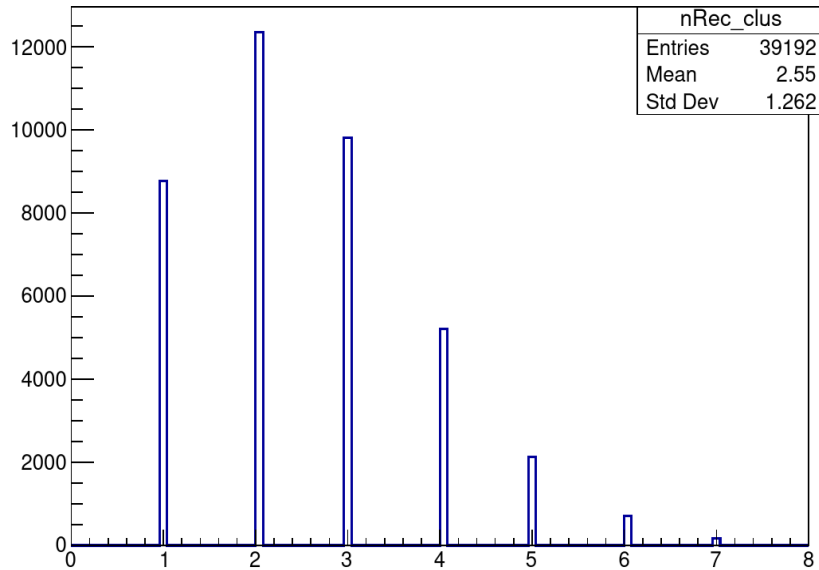


[S. Paul, M. Arratia arXiv:2308.06939](https://arxiv.org/abs/2308.06939)

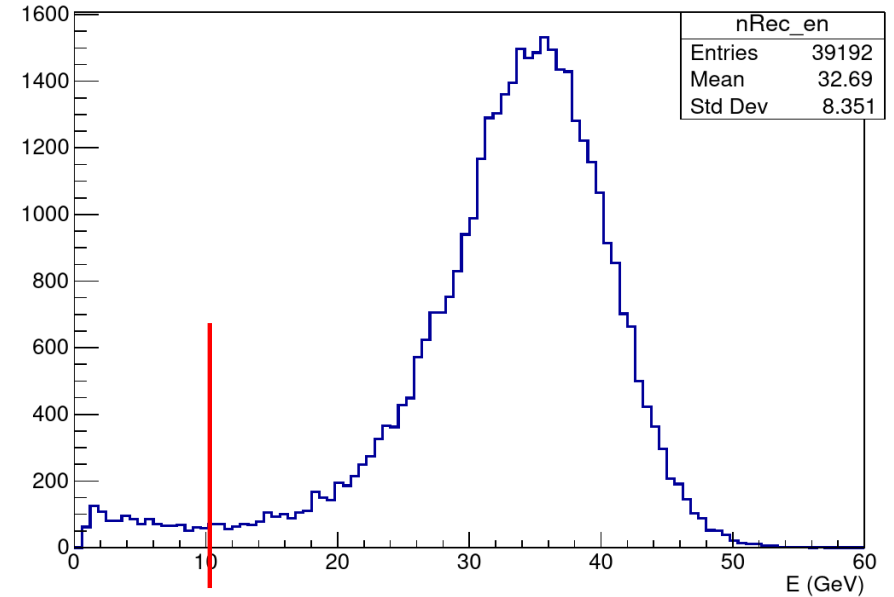
- Proposed SiPM-on-Tile design of ZDC divides HCAL into hexagonal cells
- HEXSPIT algorithm defines cells with overlap, assigns weights according to overlap, uses this to reconstruct energy based on subcell energy

# $p(e, e' \pi^+ n)$ Neutron reconstruction in ZDC

n clusters ( $\theta^* < 4.0$  mRad)

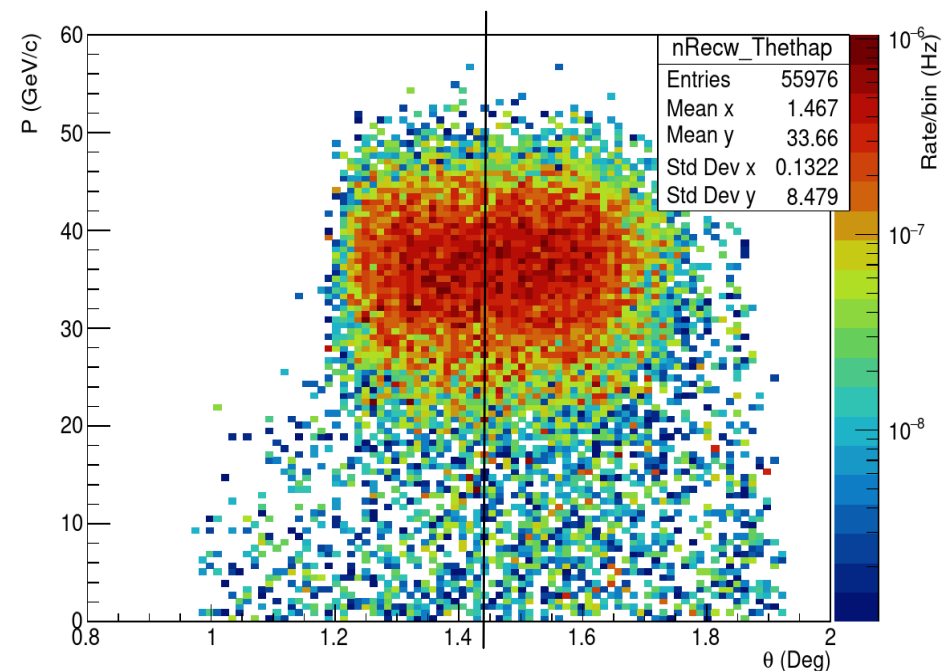


n rec E ( $\theta^* < 4.0$  mRad)



- 5x41 e+p collisions w/ ePIC
- High proportion of neutron hits have multi-clusters
  - Results use latest ReconstructedFarForward ZDCNeutrons algorithm
- (x,y) acceptance of ZDC fully filled
- Apply >10 GeV/cluster cut to select good neutrons

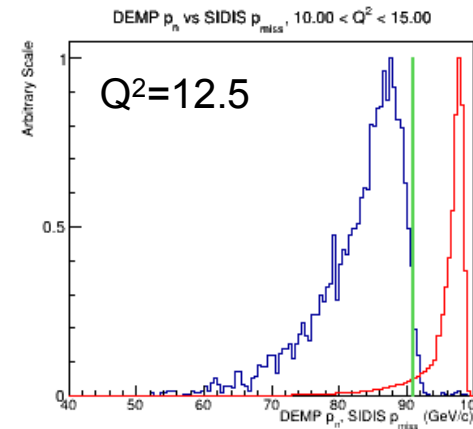
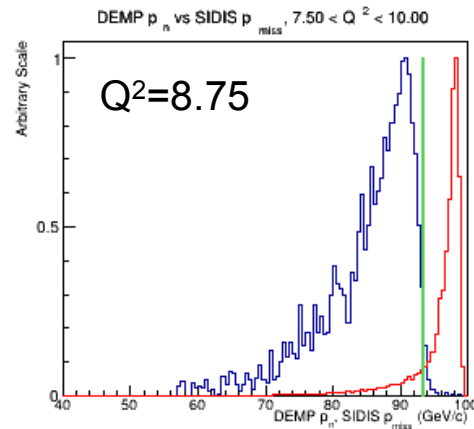
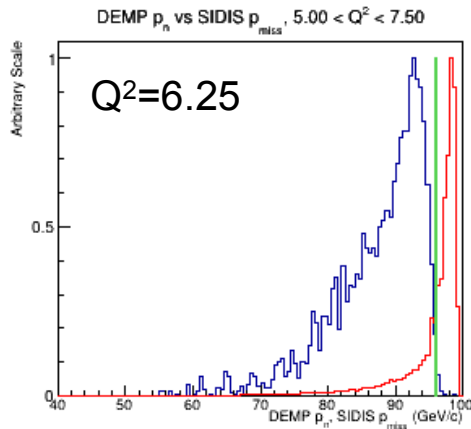
n rec  $\theta$  vs P



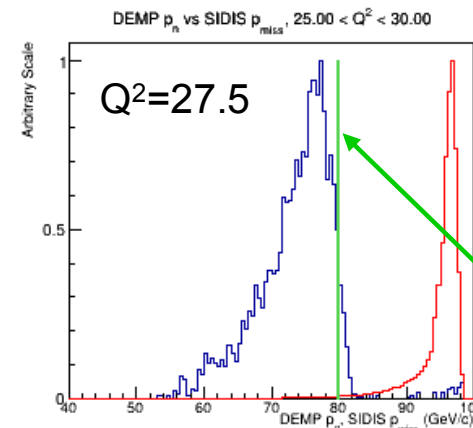
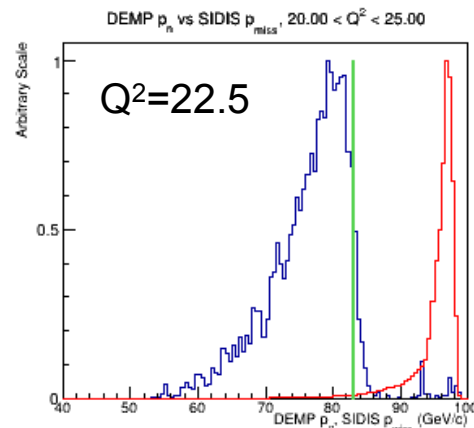
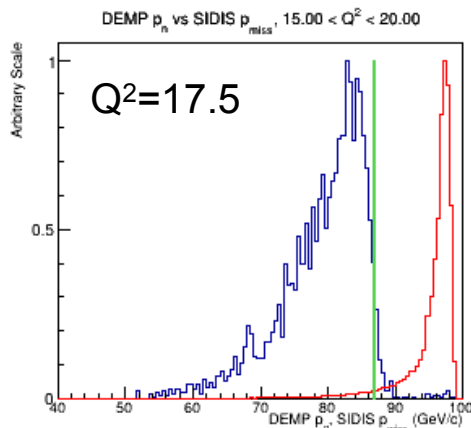
- **Can we isolate a clean sample of exclusive  $p(e, e' \pi^+ n)$  events by detecting the neutron, or are other requirements needed in addition?**
- For a source of background  $p(e, e' \pi^+) X$  events we used the EIC SIDIS generator written by Tianbo
  - located on JLab farm at `/work/eic/evgen/SIDIS_Duke/e5p100`
- Since the generator does not output the neutron momentum, we use the missing momentum as a proxy
  - The SIDIS and DEMP event generators are used to create LUND format files
  - Generated events are fed into ECCE Geant4 simulation to study acceptance and resolution requirements

# $p_{miss}$ cut vs $Q^2$ -bin

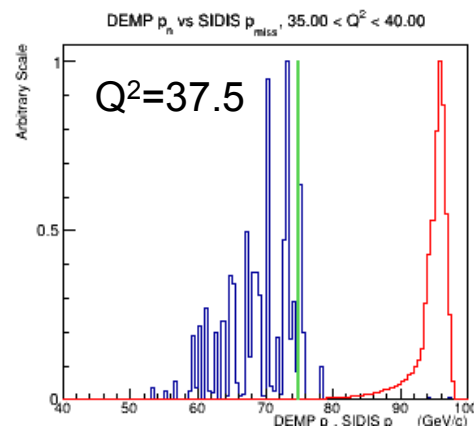
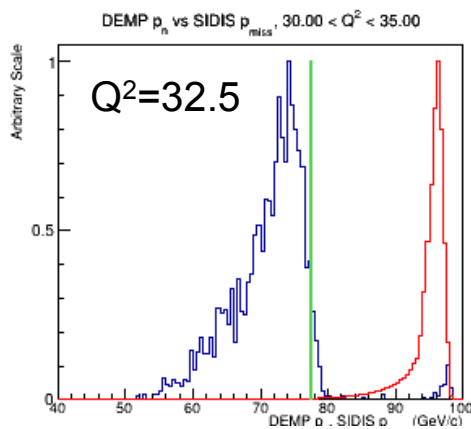
$$p_{miss} = \left| \vec{p}_e + \vec{p}_p - \vec{p}_{e'} - \vec{p}_{\pi^+} \right|$$



Plots by  
Stephen Kay  
(Regina/York)



Cut value  
(varies w/ $Q^2$ )



**Exclusive  $p(e, e' \pi^+ n)$   
Foreground**

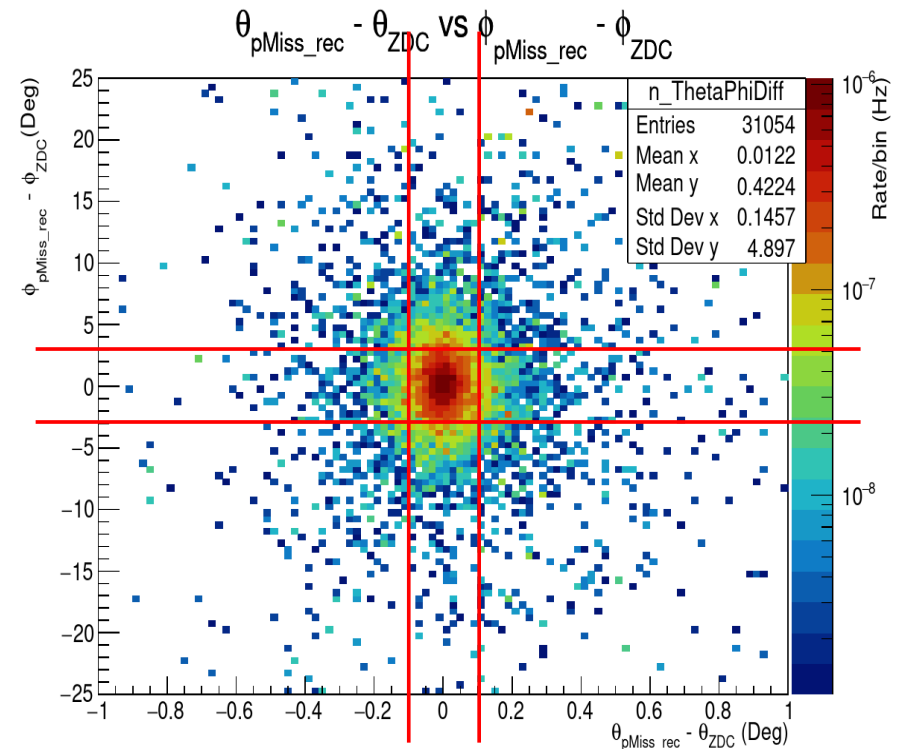
**SIDIS  $p(e, e' \pi^+) X$   
Background**

(arbitrarily normalized, actually much larger than DEMP)

- **Make use of high angular resolution of Zero Degree Calorimeter (ZDC) to further reduce background events**

- Compare hit ( $\theta, \phi$ ) positions of energetic neutron on ZDC to calculated position from  $p_{miss}$
- If no other particles are produced (i.e. exclusive reaction) these quantities should be highly correlated
- Energetic neutrons from inclusive background processes will be less correlated, since additional lower energy particles are produced

5x41 e+p collisions w/ ePIC



Differences between hit and calculated neutron positions on ZDC for DEMP events

**Cuts applied:**  $|\Delta\theta| < 0.1^\circ$   $|\Delta\phi| < 3.0^\circ$ , in addition to triple coincidence and  $\theta_n < 4.0^\circ$ ,  $E_n > 10$  GeV cuts

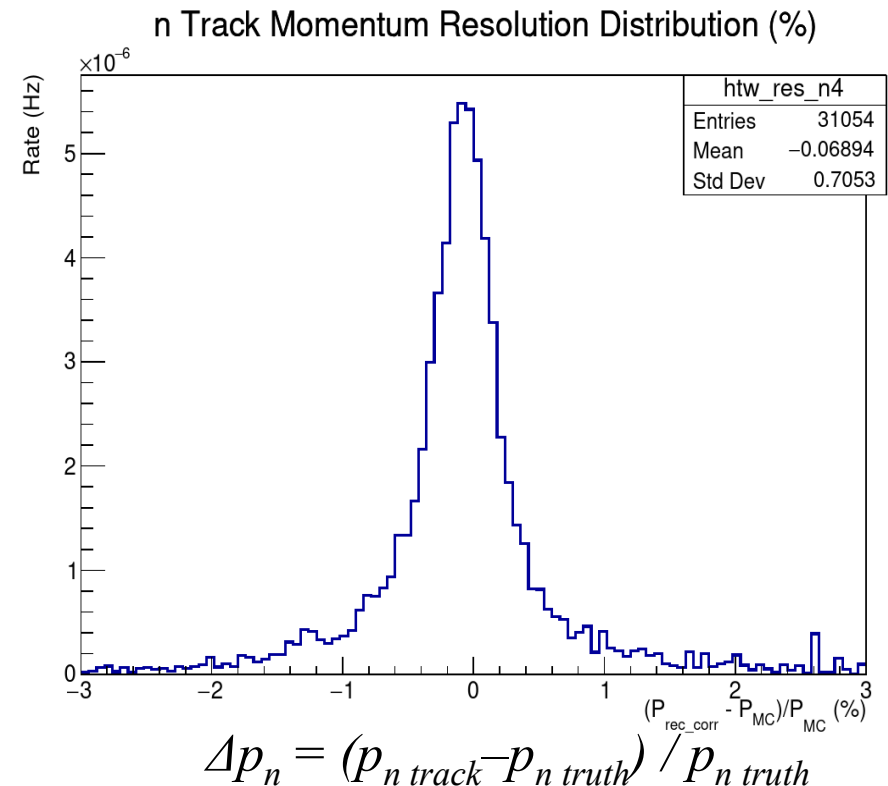
Plot by Love Preet (Regina)



- **Exclusive  $p(e, e' \pi^+ n)$  event selection requires exactly one high energy ZDC hit as a veto**
- Since the neutron hit position from ZDC is known to high accuracy, this information can be used to “correct” the missing momentum track

$$p_{miss} = \left| \vec{p}_e + \vec{p}_p - \vec{p}_{e'} - \vec{p}_{\pi^+} \right|$$

- **Use ZDC hit positions  $\theta_{ZDC}, \varphi_{ZDC}$  instead of calculated  $\theta_{miss}, \varphi_{miss}$  angles**
- $E_{miss}$  also adjusted to reproduce neutron mass
- After these adjustments, the neutron track momentum was reconstructed to <1% of “true” momentum



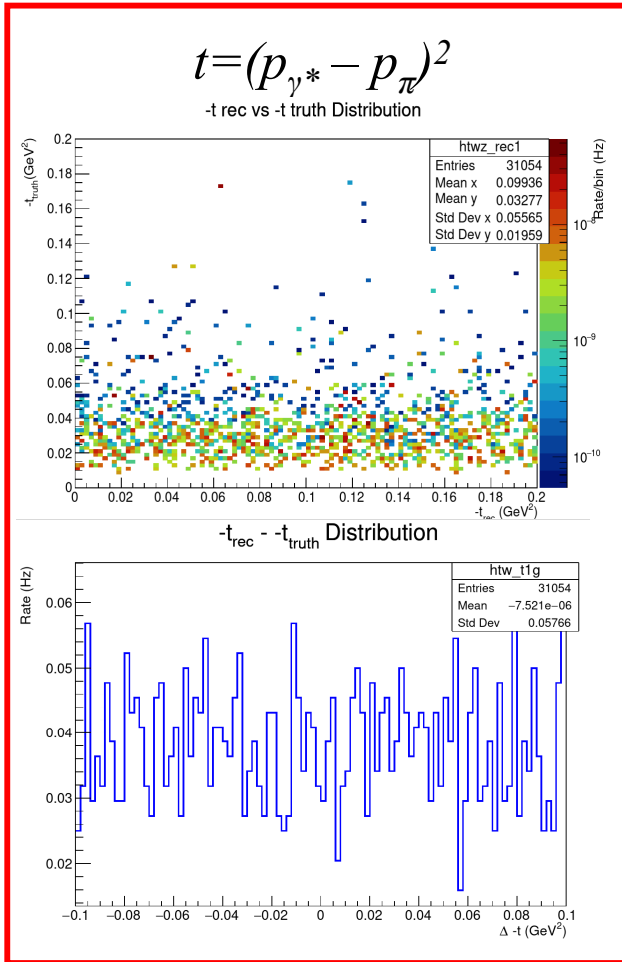
# Reconstructing Mandelstam $t$

- Extraction of pion form factor from  $p(e, e' \pi^+ n)$  data requires  $t$  to be reconstructed accurately, as we need to verify dominance of the  $t$ -channel process from the dependence of  $d\sigma/dt$  upon  $t$

Garth Huber, huberg@uregina.ca

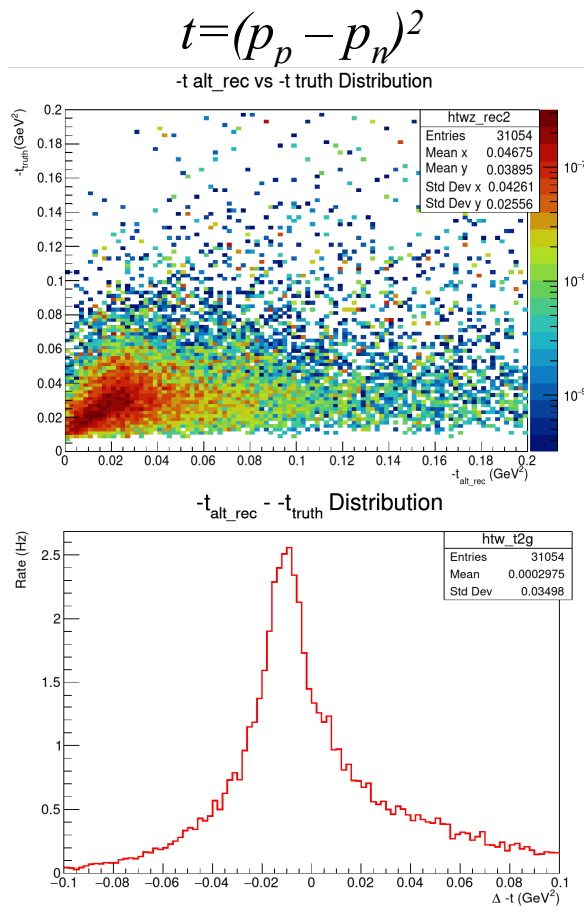
$t_{reconst}(x)$  vs  $t_{truth}(y)$

$t_{reconstr} - t_{truth}$

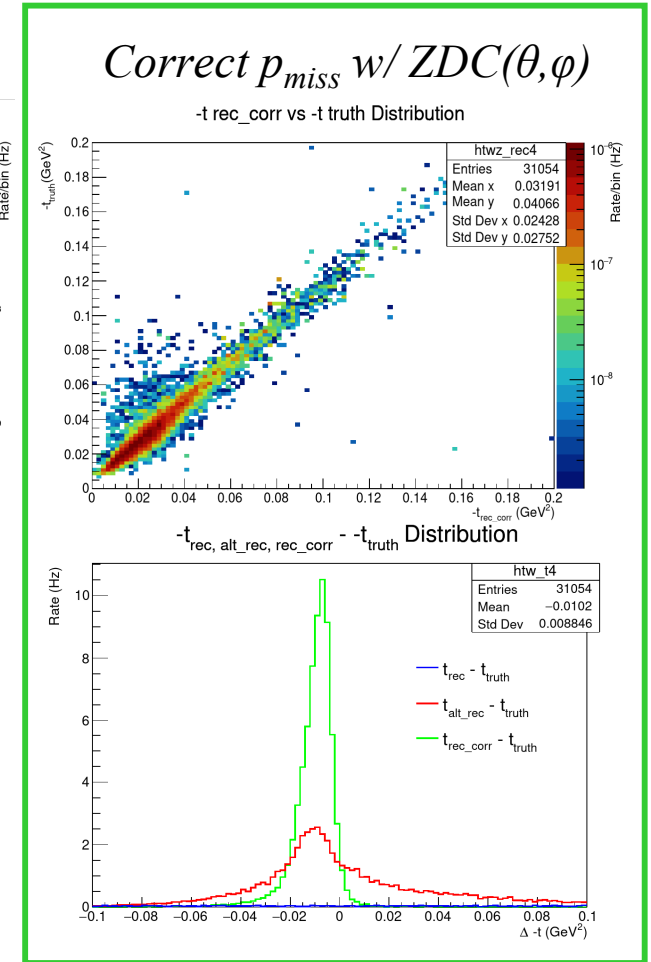


Unusable  $t$  reconstruction

$$\sigma_{t_{reconstr}} = 3.4 \text{ GeV}^2$$



Plots by Love Preet (Regina)



Best  $t$  reconstruction

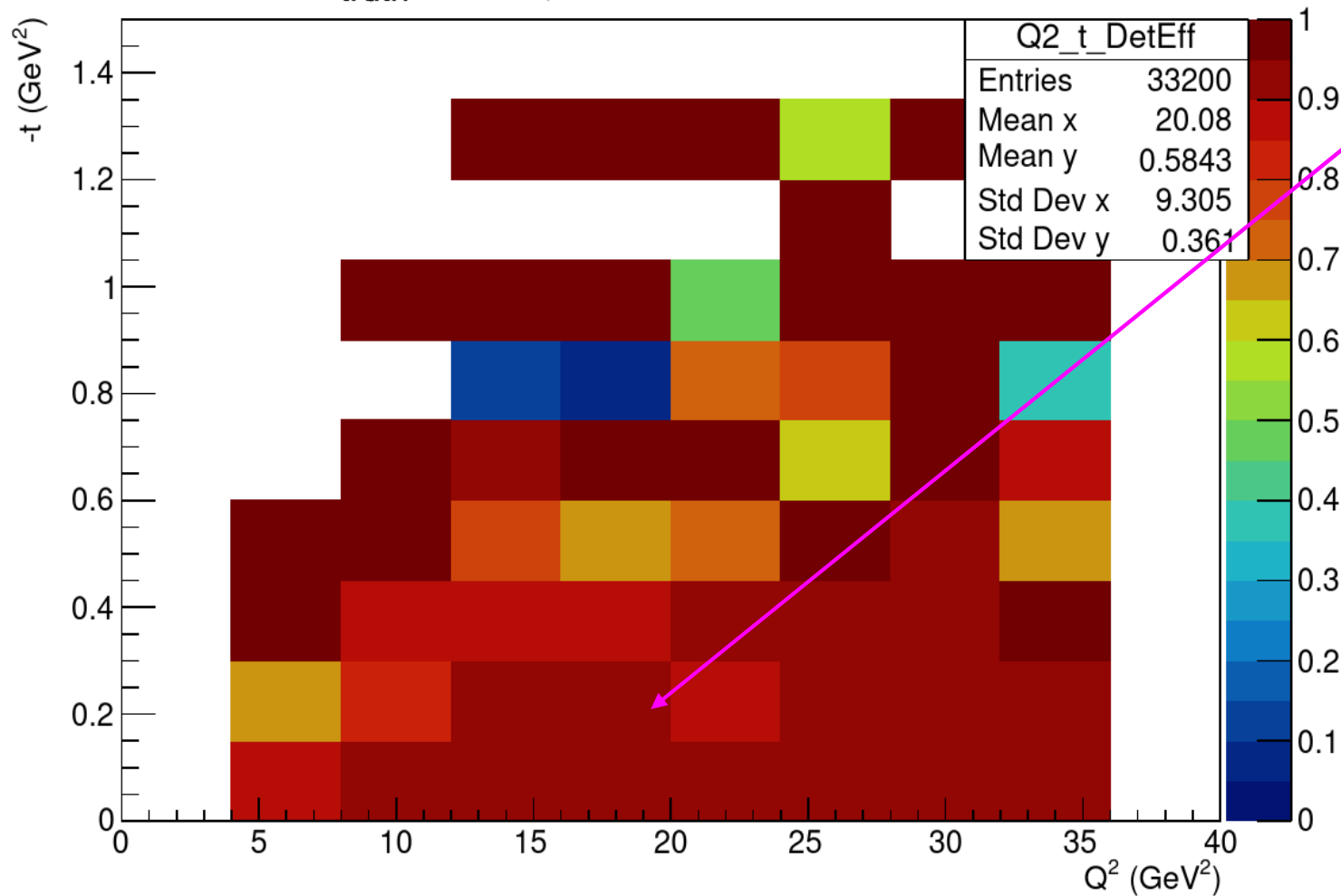
$$\sigma_{t_{reconstr}} = 0.009 \text{ GeV}^2$$

5x41 e+p collisions w/ ePIC

# Detection efficiency per $(Q^2, t)$ bin

5x41 e+p collisions with full ePIC simulation

$Q^2_{\text{truth}}$  vs  $-t_{\text{truth}}$  detected/thrown ratio



Detection efficiency best in crucial low  $-t$  region

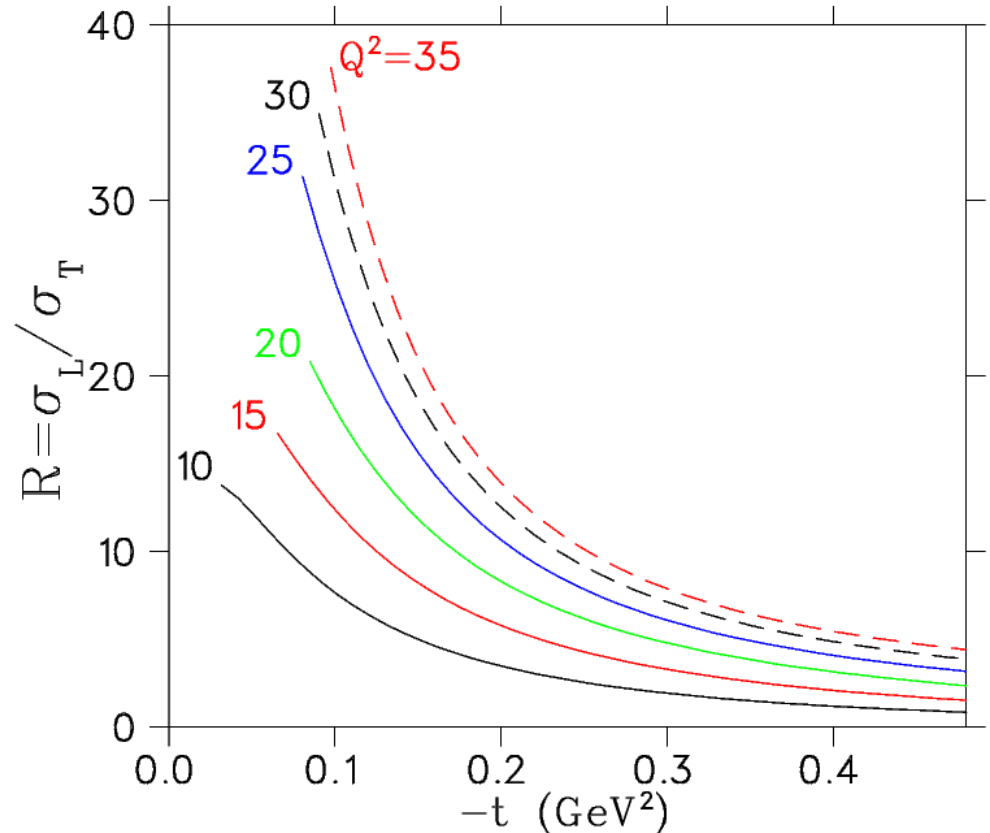
# Separating $\sigma_L$ from $\sigma_T$ in e-p Collider

$$\varepsilon = \frac{2(1-y)}{1+(1-y)^2} \quad \text{where the fractional energy loss } y = \frac{Q^2}{x(s_{tot} - M_N^2)}$$

- Systematic uncertainties in  $\sigma_L$  are magnified by  $1/\Delta\varepsilon$ .
  - Desire  $\Delta\varepsilon > 0.2$ .
- **To access  $\varepsilon < 0.8$ , one needs  $y > 0.5$ .**
  - This can only be accessed with small  $s_{tot}$ ,  
i.e. low proton collider energies (5–15 GeV),  
where luminosities are too small for a practical measurement.
- **A conventional L–T separation is impractical, need some other way to identify  $\sigma_L$**

# Isolate $d\sigma_L/dt$ using a Model

- In the hard scattering regime, QCD scaling predicts  $\sigma_L \propto Q^{-6}$  and  $\sigma_T \propto Q^{-8}$ .
- At high  $Q^2$ ,  $W$  accessible at EIC, phenomenological models predict  $\sigma_L \gg \sigma_T$  at small  $-t$ .
- The most practical choice might be to use a model to isolate dominant  $d\sigma_L/dt$  from measured  $d\sigma_{UNS}/dt$ .
- **In this case, it is very important to confirm the validity of the model used.**



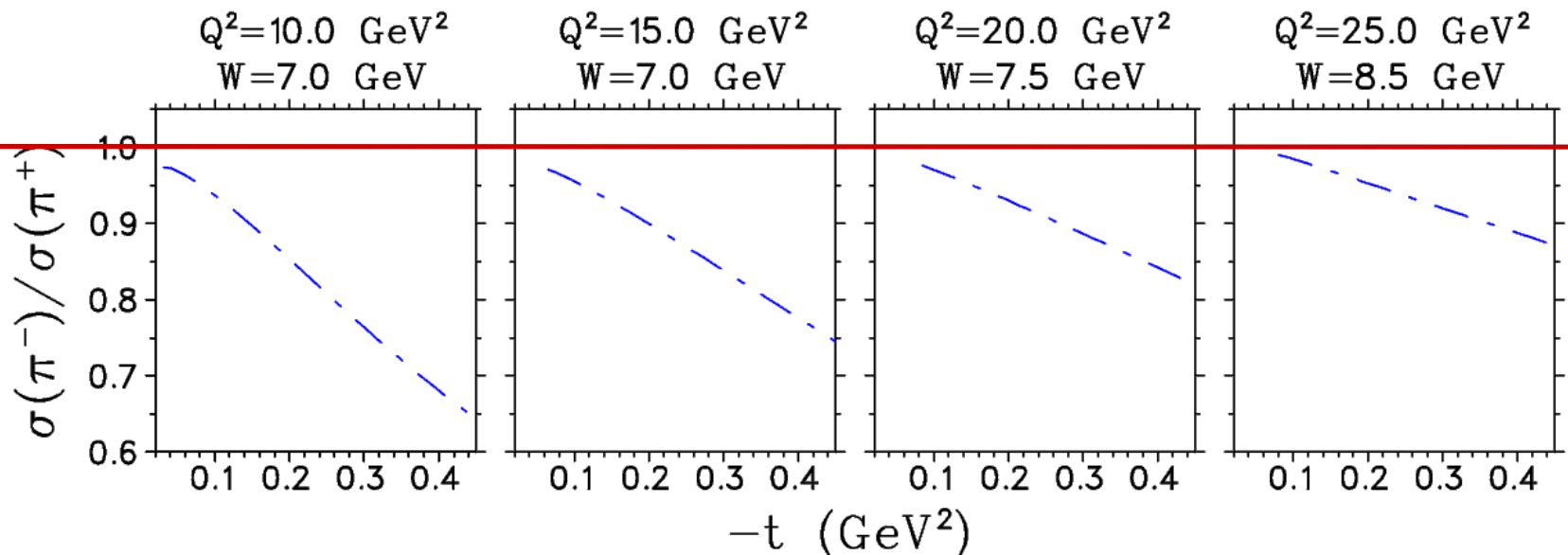
- T. Vrancx, J. Ryckebusch, PRC 89(2014)025203.
- Predictions are for  $\epsilon > 0.995$ ,  $Q^2, W$  kinematics shown earlier.

# Using $\pi^-/\pi^+$ ratios to confirm $\sigma_L \gg \sigma_T$

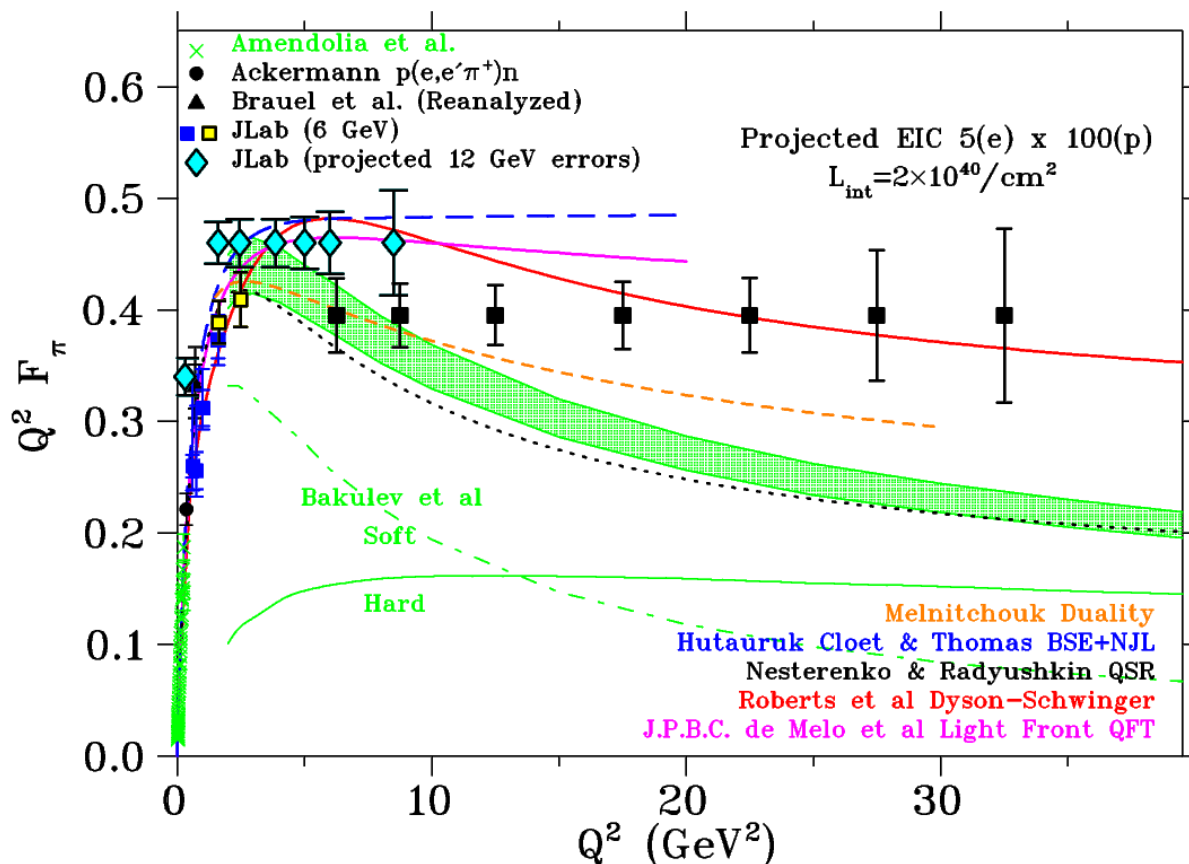
- Exclusive  ${}^2\text{H}(e, e' \pi^+ n)n$  and  ${}^2\text{H}(e, e' \pi^- p)p$  in same kinematics as  $p(e, e' \pi^+ n)$
- $\pi$   $t$ -channel diagram is purely isovector (G-parity conservation).

$$R = \frac{\sigma[n(e, e' \pi^- p)]}{\sigma[p(e, e' \pi^+ n)]} = \frac{|A_V - A_S|^2}{|A_V + A_S|^2}$$

- The  $\pi^-/\pi^+$  ratio will be diluted if  $\sigma_T$  is not small, or if there are significant non-pole contributions to  $\sigma_L$ .
- Compare measured  $\pi^-/\pi^+$  ratio to model expectations.



# EIC Kinematic Reach (projection)



## Assumptions:

- $5(e^-) \times 100(p)$ .
- Integrated  $L=20 \text{ fb}^{-1}/\text{yr}$ .
- Clean identification of exclusive  $p(e, e'\pi^+n)$  events.
- Syst. Unc: 2.5% pt-pt and 12% scale.
- $R=\sigma_L/\sigma_T=0.013-0.14$  at lowest  $-t$  from VR model, and  $\delta R=R$  syst. unc. in model subtraction to isolate  $\sigma_L$ .
- $\pi$  pole dominance at small  $-t$  confirmed in  ${}^2\text{H } \pi^-/\pi^+$  ratios.

Dec 2022 ECCE projections shown

Projections to be updated soon using latest ePIC detector simulation

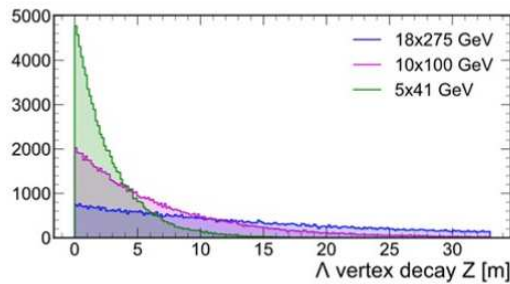
# $p(e, e' K^+ \Lambda)$ Event Reconstruction

- Significantly more challenging than  $p(e, e' \pi^+ n)$  reconstruction
- Need to efficiently identify  $\Lambda \rightarrow n \pi^0 \rightarrow n \gamma \gamma$  decay ( $\sim 33\%$ )
  - Neutral products take straight line paths
  - Cleanly distinguishing  $n$  from  $\gamma$  clusters is main challenge
- Dominant  $\Lambda \rightarrow p \pi^-$  channel ( $\sim 67\%$ ) has its own challenges
  - Avoids issue of distinguishing  $n$  from  $\gamma$  clusters
  - Main issue is that  $p, \pi^-$  are deflected in opposite directions by proton ring magnetic elements. Can be detected in Off-Momentum Detectors, but detection efficiency needs study
- Additional reconstruction issue:
  - Do not know  $\Lambda$  decay vertex when reconstructing  $\pi^0 \rightarrow \gamma \gamma$  decay
  - SiPM will provide enough information about spatial extent of showers to extract incident angle of  $\gamma$  on EMCAL to enable full 4-vector reconstruction of  $\pi^0$ . Is it sufficiently good?



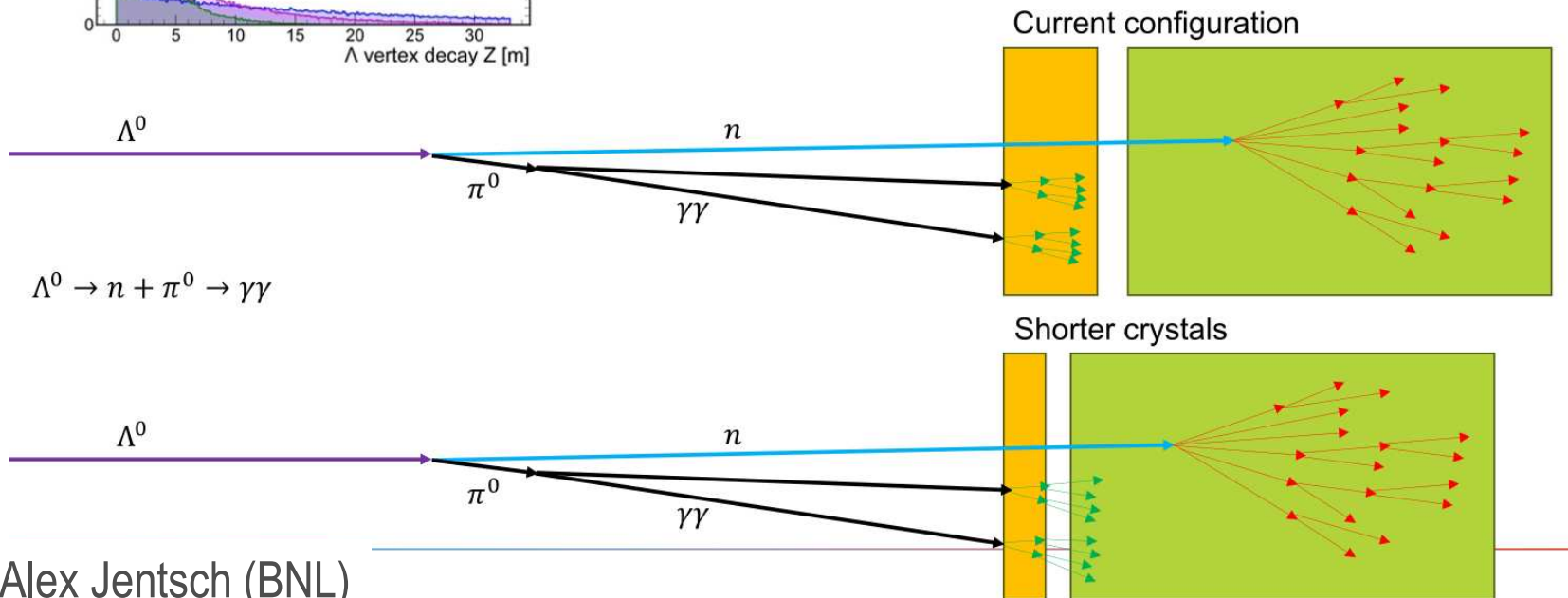
# Some ZDC Design Choices

- $\Lambda \rightarrow n\pi^0 \rightarrow n\gamma\gamma$  reconstruction studies will inform ZDC design choices
- 1. **20cm EMCAL + SiPM-on-Tile:** E resolution is very good, but lose  $\gamma$  angular information needed for  $\Lambda$  reconstruction
- 2. **~10cm EMCAL + SiPM-on-Tile:** EMCAL can act as a sort of “pre-shower” while still enabling  $\gamma$  angular information
- 3. **SiPM-on-Tile ONLY:** Allows best  $\gamma$  angular reconstruction, but might lose low-E photon capability, potentially more difficult hadronic/EM shower separation



From: J Arrington et al 2021 *J. Phys. G: Nucl. Part. Phys.* **48** 075106

Yellow: crystal  
EMCAL  
Blue: SiPM-on-Tile



- Far Forward large acceptance is even more important for  $K^+$  form factor than for  $\pi^+$  form factor
- Detection of  $e'K^+\Lambda[\Sigma^0]$  triple coincidence over wide range of  $-t$  essential for identification of  $K$ -pole process, needed for  $K^+$  form factor extraction from data
  - $\Lambda \rightarrow n\pi^0 \rightarrow n2\gamma$  and  $\Sigma \rightarrow \Lambda\gamma \rightarrow n3\gamma$  identification over wide  $-t$  only possible if ZDC calorimeter acceptance is extended with addition of a B0 calorimeter
  - Not only essential for  $F_K$ , but also would improve forward acceptance for u-channel DVCS, and nuclear coherent diffraction studies

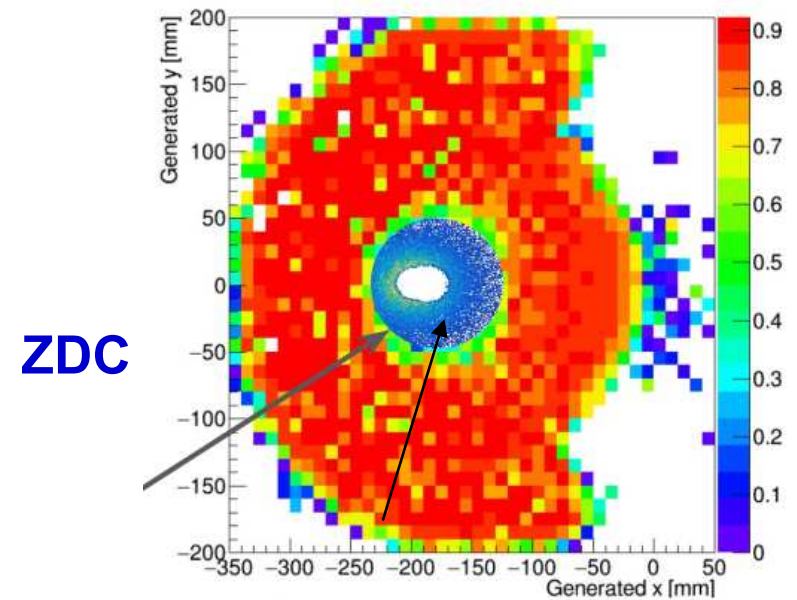


Figure courtesy of Wenliang Li (SBU)

**Possible B0 Calorimeter**  
• Greatly extends acceptance!

- Higher  $Q^2$  data on  $\pi^+$  and  $K^+$  form factors are vital to our better understanding of hadronic physics
  - Pion and kaon properties are intimately connected with dynamical chiral symmetry breaking (DCSB), which explains the origin of more than 98% of the mass of visible matter in the universe
  - $F_\pi$  is our best hope to directly observe QCD's transition from confinement-dominated physics at large length-scales to perturbative QCD at short length-scales
- PionLT (E12-19-006) has for the first time, since the pioneering measurements at Cornell in 1970's, acquired the high quality data needed to test these theoretical developments with authority
- KaonLT (E12-09-011) partially completed. First results hopefully out next year
- Measurement of  $F_\pi$  at EIC seems feasible
  - Efficient identification of  $p(e, e'\pi^+n)$  triple coincidences with sufficient resolution is feasible according to our simulations
- Measurement of  $F_K$  at EIC very challenging
  - $\Lambda$  reconstruction studies are likely to inform ZDC design choices