### E12-10-006B: Deep Exclusive π<sup>-</sup> Production with Transversely Polarized <sup>3</sup>He using SoLID

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# **GPDs in Deep Exclusive Meson Production**

**PDFs :** probability of finding a parton with longitudinal momentum fraction *x* and specified polarization in fast moving hadron.



**GPDs** : interference between partons with  $x+\xi$  and  $x-\xi$ , interrelating longitudinal momentum & transverse spatial structure of partons within fast moving hadron.





A special kinematic regime is probed in Deep Exclusive Meson Production, where the initial hadron emits  $q\overline{q}$  or gg pair.

- No counterpart in usual PDFs.
- Since GPDs correlate different parton configurations in the hadron at quantum mechanical level,
  - GPDs determined in this regime carry information about  $q\overline{q}$  and gg-components in the hadron wavefunction.

# **GPDs require Hard Exclusive Reactions**



- In order to access the physics contained in GPDs, one is restricted to the hard scattering regime.
- Factorization property of hard reactions:
  - Hard probe creates a small size  $q\overline{q}$  and gluon configuration,
    - interactions can be described by pQCD.
  - Non-perturbative part describes how hadron reacts to this configuration, or how the probe is transformed into hadrons (parameterized by GPDs).



- Hard Exclusive Meson Electroproduction first shown to be factorizable by Collins, Frankfurt & Strikman [PRD 56(1997)2982].
- Factorization applies when the  $\gamma^*$  is longitudinally polarized.
  - corresponds to small size configuration compared to transversely polarized γ\*.



- GPDs interrelate the longitudinal momentum and transverse spatial structure of partons within a fast moving hadron.
- GPDs are universal quantities and reflect nucleon structure independently of the probing reaction.
  - At leading twist–2, four quark chirality conserving GPDs for each quark, gluon type.
  - Because quark helicity is conserved in the hard scattering regime, the produced meson acts as helicity filter.
    - Pseudoscalar mesons  $\rightarrow \tilde{H} \tilde{E}$
    - Vector mesons  $\rightarrow H E$ .



Additional chiral–odd GPDs ( $H_T E_T \tilde{H}_T \tilde{E}_T$ ) offer a new way to access the transversity–dependent quark–content of the nucleon.

# Links to other nucleon structure quantities

First moments of GPDs are related to nucleon elastic form factors through model-independent sum rules:

$$\sum_{q} e_{q} \int_{-1}^{+1} dx \ H^{q}(x,\xi,t) = F_{1}(t)$$

$$\sum_{q} e_{q} \int_{-1}^{+1} dx \ E^{q}(x,\xi,t) = F_{2}(t)$$

$$\sum_{q} e_{q} \int_{-1}^{+1} dx \ \tilde{H}^{q}(x,\xi,t) = G_{A}(t)$$

$$\sum_{q} e_{q} \int_{-1}^{+1} dx \ \tilde{E}^{q}(x,\xi,t) = G_{P}(t)$$

Dirac and Pauli elastic nucleon form factors. *t*-dependence fairly well known.

Isovector axial form factor. *t*-dep. poorly known.

Pseudoscalar form factor. Very poorly known.

# Spin–flip GPD $\tilde{E}$

- $G_{P}(t)$  is highly uncertain because it is negligible at the momentum transfer of  $\beta$ -decay.
- Because of PCAC,  $G_P(t)$  alone receives contributions from  $J^{PG}=0^{-1}$  states.
  - These are the quantum numbers of the pion, so  $\tilde{E}$  contains an important pion pole contribution.



For this reason, a pion pole-dominated ansatz is typically assumed:

$$\tilde{E}^{u,d}(x,\xi,t) = F_{\pi}(t) \frac{\theta(\xi > |x|)}{2\xi} \phi_{\pi}\left(\frac{x+\xi}{2\xi}\right)$$

where  $F_{\pi}$  is the pion FF and  $\varphi_{\pi}$  the pion PDF.

 $\sum_{q} e_{q} \int dx \; \tilde{E}^{q}(x,\xi,t) = G_{P}(t)$ 

# How to determine $\tilde{E}$

- **GPD**  $\tilde{E}$  not related to an already known parton distribution.
- Experimental information on  $\tilde{E}$  can provide new nucleon structure info unlikely to be available from any other source.
- The most sensitive observable to probe  $\tilde{E}$  is the transverse single-spin asymmetry in exclusive  $\pi$  production:



## Single Spin Asymmetry in Exclusive $\pi$ Production

- Frankfurt et al. have shown  $\mathbf{A_L}^{\perp}$  vanishes if  $\tilde{E}$  is zero [PRD **60**(1999)014010].
  - If  $\tilde{E} \neq 0$ , the asymmetry will produce a sin $\beta$  dependence.
- They also argue that precocious factorization of the π production amplitude into three blocks is likely:
  - 1. overlap integral between  $\gamma$ ,  $\pi$  wave functions.
  - 2. the hard interaction.
  - 3. the GPD.
  - Higher order corrections, which may be significant at low  $Q^2$  for  $\sigma_L$ , likely cancel in  $A_L^{\perp}$ .
- A<sub>L</sub><sup>⊥</sup> expected to display precocious factorization at moderate Q<sup>2</sup>~2-4 GeV<sup>2</sup>.

# **GPD** information in $A_L^{\perp}$ may be particularly clean





• At  $Q^2$ =10 GeV<sup>2</sup>, Twist–4 effects can be large, but cancel in  $A_L^{\perp}$ 

(Belitsky & Műller PLB **513**(2001)349).

• At  $Q^2$ =4 GeV<sup>2</sup>, higher twist effects even larger in  $\sigma_L$ , but still cancel in the asymmetry (CIPANP 2003).



#### This relatively low value of $Q^2$ for the expected onset of precocious scaling is important, because it is experimentally accessible at Jefferson Lab.

# **Separated versus Unseparated Expts**



- Our reaction of interest is  $\vec{n}(e, e'\pi^-)p$  from the neutron in transversely polarized <sup>3</sup>He.
- It has not yet been possible to perform an experiment to measure  $A_L^{\perp}$ .
  - Conflicting experimental requirements of transversely polarized target, high luminosity, L–T separation and closely controlled systematic uncertainties make this an exceptionally challenging observable to measure.
- The most closely related measurement, of the transverse single-spin asymmetry in  $\vec{p}(e,e'\pi^+)n$ , without an L–T separation, was published by HERMES in 2010.
  - Significant GPD information was obtained.
  - Our proposed SoLID measurements will be a significant advance over the HERMES data in terms of kinematic coverage and statistical precision.

### Transverse Target Single Spin Asymmetry in DEMP

Unpolarized  
Cross section 
$$2\pi \frac{d^2 \sigma_{UU}}{dt d \phi} = \varepsilon \frac{d \sigma_L}{dt} + \frac{d \sigma_T}{dt} + \sqrt{2\varepsilon(\varepsilon+1)} \frac{d \sigma_{LT}}{dt} \cos \phi + \varepsilon \frac{d \sigma_{TT}}{dt} \cos 2\phi$$
  
Transversely  
polarized cross  
section has  
additional  $\frac{d^3 \sigma_{UT}}{dt d \phi d \phi_s} = -\frac{P_{\perp} \cos \theta_q}{\sqrt{1-\sin^2 \theta_q} \sin^2 \phi_s} \begin{cases} \sin \beta \operatorname{Im}(d \sigma_{++}^{+-} + \varepsilon d \sigma_{00}^{+-}) \\ + \sin \phi \sqrt{\varepsilon(1+\varepsilon)} \operatorname{Im}(d \sigma_{+0}^{+-}) \\ + \sin(\phi + \phi_s) \frac{\varepsilon}{2} \operatorname{Im}(d \sigma_{+-}^{+-}) \\ + \sin(2\phi - \phi_s) \sqrt{\varepsilon(1+\varepsilon)} \operatorname{Im}(d \sigma_{+0}^{-+}) \\ + \sin(3\phi - \phi_s) \frac{\varepsilon}{2} \operatorname{Im}(d \sigma_{+-}^{-+}) \\ + \sin(3\phi - \phi_s) \frac{\varepsilon}{2} \operatorname{Im}(d \sigma_{+-}^{-+}) \\ - \sum_k A_{UT}^{\sin(\mu\phi+\lambda\phi_s)_k} \sin(\mu\phi + \lambda\phi_s)_k \end{cases}$   
Unseparated sin\beta=sin(\phi-\phi\_s) Asymmetry Moment

$$A_{UT}^{\sin(\phi-\phi_s)} \sim \frac{d\sigma_{00}^{+-}}{d\sigma_L \binom{++}{00}} \sim \frac{\operatorname{Im}(\tilde{E}^*\tilde{H})}{\left|\tilde{E}\right|^2} \text{ where } \tilde{E} \gg \tilde{H}$$

Ref: M. Diehl, S. Sapeta, Eur.Phys.J. C**41**(2005)515.

Note: Trento convention used for rest of talk

## HERMES sin( $\beta = \varphi - \varphi_s$ ) Asymmetry Moment

- Exclusive π<sup>+</sup> production by scattering 27.6 GeV positrons or electrons from transverse polarized <sup>1</sup>H without L/T separation. [PLB 682(2010)345].
- Analyzed in terms of 6 Fourier amplitudes for φ<sub>π</sub>,φ<sub>s</sub>.
- Asymmetry is diluted by ~50%.
- $\langle x_{B} \rangle = 0.13, \langle Q^{2} \rangle = 2.38 \text{ GeV}^{2}, \\ \langle -t \rangle = 0.46 \text{ GeV}^{2}.$



- Goloskokov and Kroll indicate the HERMES results have significant contributions from transverse photons, as well as from L and T interferences [Eur Phys.J. C65(2010)137].
- Nonetheless, the HERMES data are consistent with GPD models based on the dominance of  $\tilde{E}$  over  $\tilde{H}$  at low -t.
  - In fact, the sign crossing in the model curve at -t≈0.5 GeV<sup>2</sup> is due to the large contribution from *E* demanded by the data.

# **Asymmetry Dilution with SoLID**

- Calculation of cross section components and sin(β=φ-φ<sub>s</sub>) asymmetry moment in handbag approach by Goloskokov & Kroll for our kinematics.
  - Although their calculation tends to underestimate σ<sub>L</sub> values measured by JLab Fπ–2, their model is in reasonable agreement with unseparated dσ/dt.
- Similar level of A<sub>UT</sub><sup>sin(φ-φs)</sup> asymmetry dilution as observed by HERMES is expected in SoLID measurement.
- SoLID measurement at higher Q<sup>2</sup> than HERMES, will cover a wide range of -t (and ξ) with good statistical precision.



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# HERMES sin( $\phi_s$ ) Asymmetry Moment

While most of the theoretical interest and the primary motivation of our experiment is the  $sin(\varphi-\varphi_s)$  asymmetry moment, there is growing interest in the  $sin(\varphi_s)$  moment, which may be interpretable in terms of the transversity GPDs.



- In contrast to the sin(φ-φ<sub>s</sub>) modulation, which has contributions from LL and TT interferences, the sin(φ<sub>s</sub>) modulation measures only the LT interference.
- The HERMES sin(φ<sub>S</sub>) modulation is large and nonzero at -t'=0, giving the first clear signal for strong contributions from transversely polarized photons at rather large values of W and Q<sup>2</sup>.
- Goloskokov and Kroll calculation [Eur.Phys.J. C65(2010)137] assumes the transversity GPD H<sub>T</sub> dominates and that the other three can be neglected.

# HERMES sin( $2\phi-\phi_s$ ) Asymmetry Moment





- $sin(2\varphi \varphi_s)$  modulation has additional LT interference amplitudes contributing that are not present in  $sin(\varphi_s)$ .
  - Improvement to calculation to reproduce sign change would require a more detailed modeling of these smaller amplitudes.
  - This would also improve description of other amplitude moments.
     In this sense, different moments provide complementary amplitude term information.
- The remaining sin(φ+φ<sub>s</sub>), sin(2φ+φ<sub>s</sub>), sin(3φ-φ<sub>s</sub>) moments are only fed by TT interference and are even smaller.

# **Complementarity of Hall C and SoLID Expts**

#### SHMS+HMS:

HMS detects scattered e'.
 SHMS detects forward, high momentum π.

#### Expected small systematic uncertainties to give reliable L/T separations.

- Good missing mass resolution to isolate exclusive final state.
- Multiple SHMS angle settings to obtain complete azimuthal coverage up to 4° from q-vector.
- It is not possible to have complete azimuthal coverage at larger −*t*, where A<sub>L</sub><sup>⊥</sup> is largest.
- PR12-12-005 by GH, D. Dutta,
   D. Gaskell, W. Hersman based on next generation polarized <sup>3</sup>He target (e.g. UNH).

#### SoLID:

- Complete azimuthal coverage (for  $\pi$ ) up to  $\theta$ =24°.
- High luminosity, particle ID and vertex resolution capabilities well matched to the experiment.
- L/T separation is not possible, the sin(φ-φ<sub>s</sub>) asymmetry moment is "diluted" by LL, TT contributions.
- The measurement is valuable as it is the only practical way to obtain A<sub>UT</sub><sup>sin(φ-φ<sub>s</sub>)</sup> over a wide kinematic range.
- We will also measure A<sub>UT</sub><sup>sin(φ<sub>s</sub>)</sup> and its companion moments, as was done by HERMES.
- Provides vital GPD information not easily available in any other experiment prior to EIC.

# SoLID <sup>坐</sup>Polarized <sup>3</sup>He SIDIS Configuration





### **SoLID-SIDIS Detector Configuration**





e/π<sup>±</sup> Coverage: → Forward-Angle:  $0 < \varphi < 2\pi$ ,  $8^{\circ} < \theta < 14.8^{\circ}$ , 1 < P < 7 GeV/c for  $e/\pi^{\pm}$ → Large-Angle:  $0 < \varphi < 2\pi$ ,  $16^{\circ} < \theta < 24^{\circ}$ , 3.5 < P < 7 GeV/c for e only Proton Coverage: → Same as  $e/\pi^{\pm}$  at FA and LA Resolution:  $\delta P/P \sim 2\%$ ,  $\delta \theta \sim 0.6$  mrad,  $\delta \varphi \sim 5$  mrad

**Coincidence Trigger:** Electron Trigger + Hadron Trigger (pions) **Offline Analysis:** Identify (tag) protons and form triple-coincidence

# **Recoil Particle Detection: Time of Flight**





Need  $>5\sigma$  timing resolution to identify protons from other charged particles



• Exisiting SoLID Timing Detectors:

- MRPC & FASPC at Forward-Angle:
- LASPD at Large-Angle:

cover  $8^{\circ}$ ~14.8°, >3 ns separation. cover 14°~24°, >1 ns separation.

 The currently designed timing resolution is sufficient for proton identification using TOF.

# **Acceptance and Projected Rates**





- Event generator is based on data from HERMES, Halls B,C with VR Regge+DIS model used as a constraint in unmeasured regions.
- Generator includes electron radiation, multiple scattering and ionization energy loss.
- Every detected particle is smeared in (P,θ,φ) with resolution from SoLID tracking studies, and acceptance profiles from SoLID-SIDIS GEMC study applied.

Q<sup>2</sup>>4 GeV<sup>2</sup>, W>2 GeV, 0.55< $\epsilon$ <0.75 cuts applied.



# **Similar Results from GEMC Simulations**



- As requested in last year's TAC review, we performed a 2<sup>nd</sup> set of simulations to better model the lowest momentum recoil protons.
- GEMC flux tree used to see if a particle hits a specific detector.
- Good agreement with 1<sup>st</sup> set of simulations.
- Detected proton momentum shifted upward slightly, ~300-350 MeV/c, partly due to change in plotting variables.





# **Missing Mass and Missing Momentum**



#### We have been very conservative in our estimations.

- Although we will detect the recoil proton to separate the exclusive channel events, in this analysis we do not assume that the proton momentum resolution is sufficiently good to provide an additional constraint.
- Thus, we compute the missing mass and momentum as if the proton were not detected:

$$M_{miss} = \sqrt{(E_e + m_n - E_{e'} - E_{\pi^-})^2 - (\vec{p}_e - \vec{p}_{e'} - \vec{p}_{\pi^-})^2}$$
$$p_{miss} = \left| \vec{p}_e - \vec{p}_{e'} - \vec{p}_{\pi^-} \right|$$

- Of course, in the actual analysis, we will try to reconstruct the proton momentum as accurately as possible.
- If the resolution is sufficiently good, this would allow additional background discrimination, as well as the effect of Fermi momentum to be removed from the asymmetry moments on an event-by-event basis.

# **Example Cuts to Reduce Background**



# Two different background channels were simulated:

- SoLID-SIDIS generator p(e,e'π<sup>-</sup>)X and n(e,e'π<sup>-</sup>)X, where we assume all X fragments contain a proton (over-estimate).
- $en \rightarrow \pi^{-} \Delta^{+} \rightarrow \pi^{-} \pi^{0} p$  where the  $\Delta^{+}$ (polarized) decays with l=1, m=0angular distribution (more realistic).





#### Background remaining after P<sub>miss</sub> cut



# **Asymmetry Moment Modeling**



- Event generator incorporates
   A<sub>UT</sub> moments calculated by
   Goloskokov and Kroll for
   kinematics of this experiment.
- GK handbag approach for π<sup>±</sup> from neutron:
  - Eur.Phys.J. C**65**(2010)137.
  - Eur.Phys.J. A47(2011)112.
  - Simulated data for target polarization up and down are subjected to same *Q*<sup>2</sup>>4 GeV<sup>2</sup>, *W*>2 GeV, 0.55<ε<0.75 cuts.

Q <sup>2</sup>	W
4.11	3.17
5.14	2.80
6.05	2.72
6.89	2.56



# **Kinematic Coverage and Binning**

- For this proposal, we binned the data in 7 *t*-bins.
- In actual data analysis, we will consider alternate binning.
- All JLab data cover a range of Q<sup>2</sup>, x<sub>Bj</sub> values.
  - $x_{Bj}$  fixes the skewness ( $\xi$ ).
  - $Q^2$  and  $x_{Bj}$  are correlated. In fact, we have an almost linear dependence of  $Q^2$  on  $x_{Bj}$ .
- HERMES and COMPASS experiments are restricted kinematically to very small skewness (ξ<0.1).</li>
- With SoLID, we can measure the skewness dependence of the relevant GPDs over a fairly large range of ξ.





# Unbinned Maximum Likelihood (UML) Method

#### Same method used by HERMES in their DEMP analysis [PLB 682(2010)345].

Instead of dividing the data into (φ,φ<sub>s</sub>) bins to extract the asymmetry moments, UML takes advantage of full statistics of the data, obtains much better results when statistics are limited.

Construct probability density function

$$f_{\uparrow\downarrow}(\phi,\phi_s;\mathbf{A}_k) = \frac{1}{C_{\uparrow\downarrow}} \left( 1 \pm \frac{|P_T|}{\sqrt{1 - \sin^2(\theta_q) \sin^2(\phi_s)}} \times \sum_{k=1}^5 \mathbf{A}_k \sin(\mu\phi + \lambda\phi_s) \right)$$

where  $A_k$  are the asymmetries that can minimize the likelihood function.

2. Minimize negative log-likelihood function:

$$-\ln L(\boldsymbol{A}_{k}) = -\ln L_{\uparrow}(\boldsymbol{A}_{k}) - \ln L_{\downarrow}(\boldsymbol{A}_{k})$$
$$= \sum_{l=1}^{N_{MC}^{\uparrow}} \left[ w_{l}^{\uparrow} \cdot \ln f_{\uparrow}(\phi_{l}, \phi_{s,l}; \boldsymbol{A}_{k}) \right] - \sum_{m=1}^{N_{MC}^{\downarrow}} \left[ w_{m}^{\downarrow} \cdot f_{\downarrow}(\phi_{m}, \phi_{s,m}; \boldsymbol{A}_{k}) \right]$$

where  $w_{l}$ ,  $w_{m}$  are MC event weights based on cross section & acceptance.

3. As an illustration, reconstruct azimuthal modulations & compare:



# Fermi Momentum Effects

- If the recoil proton momentum resolution is sufficiently good, it will be possible to correct for Fermi momentum on an event-by-event basis.
- For the purposes of the proposal, we take the more conservative view that the resolution is not good enough, even though the removal of the Fermi momentum effect would simplify the physics interpretation of our data.
- To estimate the impact of Fermi momentum, we ran the generator in a variety of configurations and repeated our analysis:
  - Multiple scattering, energy loss, radiation effects ON/OFF.
  - Fermi momentum ON/OFF.
- The effect of Fermi momentum is about -0.02 on the sin(φ-φ<sub>s</sub>) moment, and about -0.04 on the sin(φ<sub>s</sub>) moment.
- We hope this estimate of Fermi momentum effects at an early stage will encourage theorists to calculate them for a timely and correct utilization of our proposed data, as suggested in last year's Theory review.
- 2017 Theory review appeared to be satisfied with this response.

# **Projected Uncertainties**







Acceptance Effects vs. ( $\phi$ ,  $\phi_s$ )

- Expected yield as function of  $\phi$ ,  $\phi_s$  for *t*-bins:
  - **#1** (0.05-0.20)
  - **#**4 (0.40-0.50)
- Acceptance fairly uniform in  $\phi_s$ .
- Some drop off on edges of φ distribution, since q is not aligned with the solenoid axis.
  - Critical feature is that φ drop off is same for target pol. up, down.
- $\stackrel{tunoo}{N}$  2000  $\stackrel{tunoo}{N}$  1500 1000 T-Bin#1 Pol-Up T-Bin#1 Pol-Up 500T-Bin#1 Pol-Down T-Bin#1 Pol-Down 3500 3000 25002000  $N_{count}$ 15001000 T-Bin#4 Pol-Up T-Bin#4 Pol-Up 500T-Bin#4 Pol-Down T-Bin#4 Pol-Down 50200 250300 35050200 250300 350 100150100150

 $\phi_h$  (Degree)

 UML analysis shows that sufficient statistics are obtained over full (φ,φ<sub>s</sub>) plane to extract asymmetry moments with small errors.

3500

3000 2500



 $\phi_S$  (Degree)

# Final State Interaction (FSI) Effects



- To estimate FSI effects, we used an empirical (phase-shift) parameterization of  $\pi$ -N differential cross sections.
- Based on this model, and the fact that there are only two proton spectators in the final state to interact with, we anticipate about 1% of events will suffer FSI interactions. The FSI fraction is weakly-dependent on  $Q^2$ , rising to about 1.2% for  $Q^2$ >5 GeV<sup>2</sup> events. Of these, a large fraction of FSI events are scattered outside the triple-coincidence acceptance, reducing the FSI fraction to ~0.4%. This will be further reduced by analysis cuts such as  $P_{miss}$ <1.2 GeV/c.
- Over the longer term, we will consult with theoretical groups for a more definitive FSI effect study.
  - e.g. Del Dotto, Kaptari, Pace, Salme and Scopetta recent study of FSI effects in SIDIS from a transversely polarized <sup>3</sup>He target [arXiv:1704.06182] showed that extracted Sivers and Collins asymmetries are basically independent of FSI. A similar calculation for DEMP, after this proposal is accepted, would be a natural extension of their work.

# Summary



- $A_{UT}^{sin(\varphi-\varphi s)}$  transverse single-spin asymmetry in exclusive  $\pi$  production is particularly sensitive to the spin-flip GPD  $\tilde{E}$ . Factorization studies indicate precocious scaling to set in at moderate  $Q^2 \sim 2-4$  GeV<sup>2</sup>, while scaling is not expected until  $Q^2 > 10$  GeV<sup>2</sup> for absolute cross section.
- $A_{UT}^{sin(\varphi s)}$  asymmetry can also be extracted from same data, providing powerful additional GPD-model constraints and insight into the role of transverse photon contributions at small -t, and over wide range of  $\xi$ .
- High luminosity and good acceptance capabilities of SoLID make it well-suited for this measurement. It is the only feasible manner to access the wide –t range needed to fully understand the asymmetries.
- We propose to analyze the E12-10-006 event files off-line to look for  $\pi$ -p triple coincidence events. To be conservative, we assume the recoil proton is only identified, and its momentum is not used to further reduce SIDIS (and other) background.
- We used a sophisticated UML analysis to extract the asymmetries from simulated data in a realistic manner, just as was used in the pioneering HERMES data. The projected data are expected to be a considerable advance over HERMES in kinematic coverage and statistical precision.
- SoLID measurement is also important preparatory work for future EIC.